

January 11, 1999

MEMORANDUM TO: Chairman Jackson

FROM: /Original signed by/ William D. Travers
Executive Director for Operations

SUBJECT: UPDATE TO STAFF RESPONSE TO TASKING MEMORANDUM
AND STAKEHOLDER CONCERNS

Attached for your information is the staff's fourth update to the plan of short- and long-term actions to respond to selected issues raised during the July 30, 1998, hearing before the Senate Subcommittee on Clean Air and Wetlands, Private Property, and Nuclear Safety and the July 17, 1998, Commission meeting with stakeholders.

Additions and changes to the December 7, 1998, update are marked in redline and strike out. Explanations for changes are provided in the associated remarks.

Since August 1998, significant progress has been made toward achieving the goals established in all eight of the topic areas developed in response to the Tasking Memorandum and stakeholder concerns. Specific noteworthy accomplishments in each of the topics areas are provided in the following paragraphs.

In the area of "Risk Informed and Performance-Based Regulation," the staff has: submitted a paper to the Commission identifying options for modifying 10 CFR Part 50 to be risk-informed; completed safety evaluations for risk-informed pilot licensing actions that may be utilized for guidance for both the staff and industry; completed safety evaluations for plant specific risk-informed licensing reviews; and developed a draft Probabilistic Risk Assessment standard and interim guidance for use in establishing risk-informed regulation.

In the area of "Reactor Inspection and Enforcement," the staff has: developed recommendations for a more risk-informed, efficient and effective baseline inspection program and associated transition strategy; implemented enforcement guidance that reduces unnecessary regulatory burden associated with non-risk significant violations; and submitted a paper to the Commission that provides recommendations for changes to the enforcement policy. These activities have been closely coordinated with the development of a revised process for Reactor Licensee Performance Assessment and have had significant involvement by Region-based personnel, industry representatives, other stakeholders and the public.

In the area of "Reactor Licensee Performance Assessment," the staff has: submitted a paper to the Commission proposing changes to the performance assessment process in order to make it more risk-informed, efficient and effective. Substantial agency resources have been devoted to this initiative. This effort involved several working groups who interacted with NEI, industry officials, stakeholders and the public through a workshop and numerous public meetings during the past four months.

In the area of "Reactor Licensing," the staff has: completed scheduled License Renewal milestones for Oconee and Calvert Cliffs; submitted for public comment a proposed rule change to provide clarity and flexibility to 10 CFR 50.59; submitted a paper and draft regulatory guide to the Commission proposing revisions to guidance on the information required be included in the Final Safety Analysis Report; issued improved standard Technical Specifications for eleven facilities; issued revised guidance to provide clear criteria for consistent decision making in determination of the threshold for issuance of CALs; submitted a paper to the Commission on the application of the backfit rule to decommissioning; revised guidance and provided training and management expectations for the handling of staff requests for additional information; and conducted a review of the current 2.206 petition process to identify aspects of the process that adversely affect and factors that improve timely closure of petitions.

In the area of "NRC Organizational Structure and Resources," the agency's three major program offices have undertaken significant restructuring in order to realign to match current program requirements, eliminate duplication, integrate technical expertise in more effective groups, and streamline organizational structures. The functions of the Office for Analysis and Evaluation of Operational Data have been transferred and consolidated wherever possible with similar work being performed by the NRC staff. In addition, a number of other headquarters and regional offices will transition to new organizational structures by the end of the fiscal year. In the course of implementing these reorganizations and other streamlining initiatives, the Commission will have eliminated 39 SES positions since August of 1998, and reduced the number of managers and supervisors in the agency from a little more than 700 in FY 1994, to approximately 335 by the end of FY 1999. In the context of the staffing levels contained in the President's FY 1999 budget, this equates to an 8:1 ratio of staff-to-supervisors and managers.

In the area of "Uranium Recovery Issues," the staff plans to submit papers to the Commission this month on ways to eliminate dual regulation at in situ leach facilities and for revising guidance for expanding disposal capability of uranium mill tailings impoundments.

In the area of "Changes to NRC's Hearing Process," the Commission completed expedited rulemaking and issued final rules to establish an informal streamlined hearing process for license transfers. In addition, the staff has completed a study of its adjudicatory processes and submitted a paper to the Commission which provides a number of options for further streamlining its hearings.

With regard to other agency programs and areas of focus the staff has: submitted a standard review plan to the Commission for license transfers involving Foreign ownership; issued the final design approval for the AP-600 design; submitted a paper to the Commission proposing ways to standardize decommissioning licensing actions and establish priorities and milestones for rulemaking and guidance development; submitted a paper to the Commission recommending a Direct Final Rule to modify 10 CFR Part 50.54(a) which proposes allowing

licensees to make certain specific changes to their Quality Assurance programs without prior NRC approval; submitted a paper to the Commission proposing amendments to 10 CFR Parts 21, 50 and 54 regarding the use of alternative source terms at operating reactors.

The next update of the staff response will be provided to the Commission during the first week of February 1999.

Attachment: As stated

cc: Commissioner Dicus
Commissioner Diaz
Commissioner McGaffigan
Commissioner Merrifield

STAFF RESPONSE TO TASKING MEMORANDUM AND STAKEHOLDER CONCERNS

as of January 7, 1999

TABLE OF CONTENTS

I. TOPIC AREA: Risk-Informed and Performance-Based Regulation	1
A. Specific Issue: Evaluation of Industry Proposals and Rulemaking	1
B. Specific Issue: Pilot Applications	5
C. Specific Issue: Plant-Specific Licensing Reviews	7
D. Specific Issue: Guidance Documents	9
II. Topic Area: Reactor Inspection and Enforcement	11
A. Specific Issue: Risk Informed Baseline Inspection Program	11
B. Enforcement Program Initiatives	15
C. Escalated Enforcement Program Initiatives	19
III. Topic Area: Reactor Licensee Performance Assessment	21
A. Specific Issue: Performance Assessment Process Improvements (IRAP, Industry's Proposal, and Performance Indicators)	21
IV. Topic Area: Reactor Licensing and Oversight	24
A. Specific Issue: License Renewal	24
B. Specific Issue: 50.59 Rulemaking	27
C. Specific Issue: FSAR Update Guidance	29
D. Specific Issue: Define Design Basis	31
E. Specific Issue: Improved Standard TS	33
F. Specific Issue: Generic Communications	35
G. Specific Issue: CALs	37
H. Specific Issue: Applicability of Backfit Rule to Decommissioning Activities	39
I. Specific Issue: Requests for Additional Information	41
J. Specific Issue: 2.206 Petitions	43
K. Specific Issue: Application of the Backfit Rule	45
V. Topic Area: NRC Organizational Structure and Resources	48
A. Specific Issue: Reorganization - Restructuring Line Organizations	48
B. Specific Issue: Achieving 1:8 supervisor/manager-to-employee ratios	50
C. Specific Issue: Increased employee involvement	52
VI. Topic Area: Other Agency Programs and Areas of Focus	54
A. Specific Issue: License Transfers	54
B. Specific Issue: AP-600 Design Certification Rulemaking	56
C1. Specific Issue: TN-68 (Dual Purpose) Cask Review	57
C2. Specific Issue: BNFL/SNC TranStor (Dual Purpose) Cask Review	58
C3. Specific Issue: Holtec HISTAR 100 (Dual-Purpose) Cask Review	60
C4. Specific Issue: Westinghouse WESFLEX (Dual Purpose) Cask Review	61
C5. Specific Issue: NAC-STC/MPC (Dual Purpose) Cask Review	63
C6. Specific Issue: NAC-UMS (Dual Purpose) Cask Review	64
C7. Specific Issue: TN-West MP-187 (Dual-Purpose) Cask Review	65

D. Specific Issue: Decommissioning Decisions	66
	January 7, 1999
E. Specific Issue: PGE-Trojan Reactor Vessel Shipment Application	69
F. Specific Issue: Event Reporting Rulemaking	71
G. Specific Issue: Proposed KI Rulemaking	73
H. Specific Issue: NEI Petitions - Petition for modifying 50.54(a)	76
I. Specific Issue: Revised Source Term Rulemaking	78
VII. TOPIC AREA: Uranium Recovery Issues	80
A. Specific Issues: Uranium recovery concerns raised in Senate report	80
VIII. TOPIC AREA: Changes to NRC's Hearing Process	83
A. Use of Informal Adjudicatory Procedures	83

I. TOPIC AREA: Risk-Informed and Performance-Based Regulation

SES Managers: Gary Holahan, Director, DSSA/NRR, and Thomas King, Director, DST/RES

A. Specific Issue: Evaluation of Industry Proposals and Rulemaking

Objective: The objectives are enhancing safety decisions, efficiently utilizing NRC resources, reducing unnecessary conservatism, as well as soliciting industry insights.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Conduct Licensing workshop to discuss streamlining the review process for risk-informed (RI) applications	7/22/98C	G. Kelly, DSSA
2. Conduct Periodic PRA Steering Committee Meetings	8/20/98C (Monthly)	T. King, RES/DSSA
3. Establish agreement with industry on formation of industry PRA steering committee to interface with NRC Steering Committee and an industry licensing panel to interface with the NRC RI Licensing Panel.	8/98C	T. King, RES
4. Meet w/South Texas Project on industry perspective to develop lessons learned	9/15/98C	G. Kelly, DSSA
5. Follow-up to licensing workshop w/UCS/NEI to discuss review process for RI applications	11/98C	M. Caruso, DSSA
6. Conduct discussions with ACRS on risk-informed, performance-based Regulation initiatives	8/26/98C 9/24/98C 9/30/98C 10/29/98 C 11/19/98 C 12/3/98C	R. Barrett, DSSA/ M. Cunningham, RES
7. Meet with ACRS Subcommittee and request ACRS letter on views and recommendations for staff options paper	9/24/98C	R. Barrett, DSSA
8. DSI-13 Role of Industry stakeholder meeting	9/1/98 C	J. Craig, RES

PRIOR TO JANUARY 28, 1999		
9. Reach agreement with NEI on scope, schedule, approach and groundrules for NEI Whole Plant Study (tasks 1-6)	Sub-summed in 10 (see note)	M. Drouin, RES
10a. Conduct public meeting to discuss options for modifying Part 50 to be risk-informed.	10/27-28/98C	G. Holahan, DSSA T. King, RES
10b. Issue paper to Commission identifying options on modifying Part 50 to be risk-informed (including the use of the term "safety" and backfitting implications) (9800152) (NRR)	12/18/98 12/23/98 C	R. Barrett, DSSA/ M. Cunningham, RES
11. Issue safety evaluation on WOG ISI topical report	01/99 12/15/98 C	S. Ali, DE NRR
12. Meeting on NEI pilot plant preliminary risk results	Sub-summed in 10 (see note)	M. Drouin, RES
13. Public workshop to discuss risk-informed options for 10 CFR 50.59	TBD (see note)	M. Drouin, RES
14. Final report to NRR with recommendations on approach to making 10 CFR 50.59 risk-informed	TBD (see note)	M. Drouin, RES

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
13. 15. Workshop on insights from NEI Whole plant study risk results and options for using them to enhance risk-informed regulation	Sub-summed in 10 (see note)	M. Drouin, RES
14. 16. Develop Rulemaking Commission paper based on Commission response to options paper (9800154) (NRR)	TBD	R. Barrett, DSSA/ M. Cunningham, RES

BEYOND JUNE 30, 1999		
Milestone	Date	Lead

BEYOND JUNE 30, 1999		
45.17. Issue safety evaluation on EPRI ISI topical report	TBD 9/30/99	S. Ali, DE NRR
16. 18. Endorse ASME RI-ISI code cases via Regulatory Guide 1.147, contingent upon ASME completing code case by 12/31/99.	9/00	D. Jackson, RES S. Ali, DE, NRR

Comments

2. Committee meets approximately monthly. Last meeting 10/1/98. Charter includes:

- Coordination of inter-office PRA Implementation Plan activities
- Resolution of key issues
- Identification of new activities
- Interaction with public and industry

9, 12, 13. Pilots being treated as part of NEI option to be addressed in Milestone 10. Verbal agreement on this reached with NEI and pilot licensees at 9/15/98 public meeting.

10. Staff has developed new plan and schedule for identifying and evaluating options. Plan provides for interaction with the public, the nuclear industry, the ACRS, and the CRGR in the development and evaluation of options

~~10b. Schedule has slipped in order to address many NRR staff comments and concerns regarding potential changes to Part 50 discussed in the paper, including the staff's proposed recommendations. Paper in final concurrence.~~

11. Presented to ACRS PRA Subcommittee 10/29/98. Presented to ACRS Full Committee 11/15/98.

10 and ~~44~~ 16. Some items budgeted in DSSA, such as support for SMMs, use of PRA in generic issue resolution, events assessment (except for high risk events) participation in planned or reactive inspections, quarterly updating of PRA plan (9500047, RES) (move to annually), and IPE follow-up, may be deferred in order to meet the above schedules in developing an options paper. Work suggested to be dropped to support these milestones is the modification of Part 52 regarding use of PRAs beyond Design Certification. RES work on proposed revision to Safety Goal Policy will be deferred from 3/99 to 7/99. Status report on this effort will be deferred from 12/98 to 3/99. (9700262) (RES)

11 and ~~45~~ 17. Risk-informed licensing panel (RILP) meetings are required.

13 and 14. These tasks were transferred from Topic Area IV.B - Reactor Licensing and Oversight, Milestones 11 and 13. These tasks and their corresponding completion schedules may be modified or deleted depending on the Commission's response to the staff's paper identifying options for modifying Part 50 to be risk-informed (Milestone 10.b).

44 16. Schedule depends upon Commission response to options paper at Milestone 10.

45 17. Work has been delayed due to need for additional information from EPRI (RAI issued in June 1997). Staff continues to interact periodically with EPRI and will resume its efforts after staff receives responses to RAIs from EPRI. EPRI submitted topical prior to issuance of ISI Reg Guide and Standard Review Plan and as a result did not address certain risk issues or how the changes in program would impact risk.

46 18. The staff schedule to endorse ASME RI-ISI Code Cases via RG 1.147 was contingent upon ASME completing Code Cases by 6/31/99. The staff had a meeting with NEI and industry representatives on October 8, 1998. In that meeting, the ASME representatives informed the staff that the ASME plans to complete revisions of the RI-ISI Code by 12/99. Based on this, the staff schedule to endorse ASME RI-ISI Code Cases via RG 1.147 has been revised to 9/00.

Additional Activities: The Center for Strategic and International Studies (CSIS) is conducting a study of the NRC regulatory process. Chairman Jackson and Commissioner McGaffigan are members of the Steering Committee. Ashok Thadani is on the working group. This activity will involve several meetings over the next several months and the CSIS schedule calls for a final report by 4/15/99.

I. TOPIC AREA: Risk-Informed and Performance-Based Regulation

SES Manager: Gary Holahan, Director, DSSA/NRR

B. Specific Issue: Pilot Applications

Objective: The goal of the pilot programs is to complete first of a kind risk-informed licensing reviews such that lessons learned may be utilized for future staff reviews. The pilot applications have provided a forum for developing guidance documents for both the staff and the industry.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Risk-Informed Licensing Panel (RILP) Meetings - assists in focusing management attention, as necessary, to identify other pilots and ensure lessons learned are developed from pilots	Ongoing	G. Holahan, DSSA
2. Issue safety evaluation on Comanche Peak IST pilot	8/14/98C	D. Fischer, DE DSSA support
3. Issue safety evaluation for ANO-2 H ₂ monitoring	9/28/98 C	M. Snodderly, DSSA
4. Issue safety evaluation on Vermont Yankee ISI pilot	11/9/98C	S. Ali, DE DSSA support
5. Issue safety evaluation on Surry ISI pilot	12/31/98 12/16/98 C	S. Ali, DE DSSA support
6. Issue safety evaluation on ANO-2 ISI pilot	12/31/98 (see note) 12//29/98 C	S. Ali, DE DSSA support

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
7. Issue safety evaluation on SONGS H ₂ Recombiner	TBD 6/30/99 (See note)	M. Snodderly, DSSA

BEYOND JUNE 30, 1999		
Milestone	Date	Lead

BEYOND JUNE 30, 1999		
8. Issue safety evaluation on ANO-1 ISI pilot	07/99	S. Ali, DE

Comments

All licensing actions dates are contingent upon timely, technically acceptable industry responses to staff inquiries.

3 The staff intends to follow up with the generic aspects of this issue (see I.C.12).

4, 5 and 6. Risk-informed Licensing Panel (RILP) meetings required.

~~6. The SER on the ANO-2 submittal was scheduled to be submitted for concurrence on 10/31/98 and issuance by 12/31/98. During the staff review of the licensee's 10/8/98 RAI responses, it was noted that the applied methodology had not been consistently applied. The licensee continues to work on preparing a formal submittal to address this issue.~~

7. NRR, with the support of RES, is attempting to quantify the value of hydrogen recombiners during a severe accident using the COGAP computer model. This approach was described in a November 19, 1998, memorandum from the EDO to the ACRS. NRR plans to have a public meeting during February 1999 to discuss the staff's results with the licensee. A meeting was conducted with NEI and industry representatives on December 22, 1998, to discuss the status of the proposal.

I. TOPIC AREA: Risk-Informed and Performance-Based Regulation

SES Manager: Gary Holahan, Director, DSSA, NRR

C. Specific Issue: Plant-Specific Licensing Reviews

Objective: The use of probabilistic risk assessment in risk-informed decision making for changes to plant-specific licensing basis is intended to enhance safety decisions, efficiently utilize NRC resources and reduce unnecessary conservatism. The goal is to complete first of a kind risk-informed licensing reviews such that lessons learned may be utilized for future staff reviews.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Establish Lead PM for risk-informed licensing actions	Complete	R. Hall, DRPE
2. Risk-Informed Licensing Panel (RILP) Meetings - assists in focusing management attention, as necessary, on risk-informed licensing actions.	Ongoing	G. Holahan, DSSA
3. Issue safety evaluation on North Anna 1/2 EDG AOT extension	8/26/98 C	O. Chopra, DE DSSA support
4. Issue safety evaluation on Oyster Creek proposal on EDG online testing	9/8/98 C	O. Chopra, DE DSSA support
5. Issue safety evaluation on San Onofre 2/3 EDG AOT extension	9/9/98 C	O. Chopra, DE DSSA support
6. Issue Commission paper related to staff's evaluation of probabilistic assessment of "BWR Reactor Pressure Vessel Shell Weld Inspection Recommendations" (9700209) (NRR)	9/21/98 C	G. Carpenter, DE DSSA support
7. Issue safety evaluation for ANO-2 H ₂ monitoring	9/28/98 C	M. Snodderly, DSSA
8. Create special reporting mechanism in WISP for risk-informed licensing actions to facilitate monitoring and tracking	10/2/98 C	R. Hall, DRPE
9. Issue safety evaluation on safety injection tank AOT extension for 6 CEOG facilities	10/22/98 C	E. Weiss, DSSA

PRIOR TO JANUARY 28, 1999		
10. Issue safety evaluation on Comanche Peak charging pump AOT extension	12/98 12/30/98 C	E. Weiss, DSSA
11. Issue safety evaluation on Pilgrim EDG AOT extension	12/98 12/11/98 C	O. Chopra, DE DSSA support
12. Notify licensees of the opportunity for confirmatory order on H ₂ monitoring.	12/98 12/31/98 C	R. Hall, ADPR/ DSSA

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
13. Issue safety evaluation on Sequoyah proposal on EDG AOT extension	06/99 12/18/98 C	O. Chopra, DE DSSA support
14. Issue reliefs from augmented examination requirements for various licensees on BWR reactor pressure vessel circumferential welds	06/99	G. Carpenter, DE
15. Issue safety evaluation on Browns Ferry 2/3 proposal on EDG AOT extension	06/99	O. Chopra, DE DSSA support

Comments

~~10. In the recent submittals the licensee made changes to its "No Significant Hazards," determination therefore, the PM must re-notice the amendment. This will delay the completion date by 30 days from the notice date.~~

~~12. The issuance of a confirmatory order will be offered to licensees on a voluntary basis due to the varied hydrogen monitoring requirements placed on individual plants.~~

14. Contingent upon receipt of relief requests from licensees

14-15. Dates to be evaluated during prioritization of risk-informed licensing actions.

I. TOPIC AREA: Risk-Informed and Performance-Based Regulation

SES Manager: Gary Holahan, Director, DSSA, NRR and Thomas King, Director, DST/RES

D. Specific Issue: Guidance Documents

Objective: To provide guidance for the staff and the industry which will enhance consistency and provide a infrastructure for use in risk-informed regulation.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. NRC/Utility Workshop on Risk-Informed (RI) Regulation	07/22/98 C	G. Kelly, DSSA
2. Communicate about process with Licensing counterparts from industry (NRC/Utility Licensing Workshop - memo issued summarizing items discussed at workshop)	08/17/98 C	R. Barrett, DSSA
3. Issue ISI trial use RI RG/SRP to Commission (SECY 98-139)	06/11/98 C	RES S. Ali, DE
4a Complete review of second draft of Phase 1 PRA standard	8/31/98C	M. Drouin, RES
4b. Paper to Commission on status of PRA standards development effort (9800041) (RES)	10/27/98 C	M. Drouin, RES
4c. Phase 1 draft PRA standard submitted for ASME review and comment	11/98C	M. Drouin, RES
4d. Phase 1 draft PRA standard issued for public comment	1/99	M. Drouin, RES
5. Revise NRR internal guidance to raise the priority of risk-informed licensing actions	10/1/98 C	D. Dorman, ADPR
6. Communicate revised priority to industry via PM/Licensing interaction	10/1/98C	R. Hall, ADPR
7. Communicate revised priority to industry via Administrative Letter	10/29/98 C	R. Hall, ADPR
8. Issue interim NRR Guidance on Implementation of Risk-Informed Regulation	10/30/98 C	G. Kelly, DSSA

PRIOR TO JANUARY 28, 1999		
9. Issue final GQA inspection procedure for use following implementation of South Texas GQA program	12/98 (see note)	R. Gramm, DRCH
10. Integrate risk attributes into revised licensee performance assessment process (9700238) (NRR)	01/99	DISP G. Parry, DSSA
11. Initiate work on Phase 2 PRA draft standard	1/99	M. Drouin, RES

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
12. Phase 1 PRA standard comments received and final draft developed	4/99	M. Drouin, RES
13. Phase 1 PRA standard issued as final by ASME	6/99	M. Drouin, RES
14. Develop risk attributes for revising enforcement policies. Input to II.C.5. (9800155) (OE)	early CY99	OE G. Kelly, DSSA

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
15. First Phase 2 PRA standard developed	TBD	M. Drouin, RES
16. Completion of Phase 2 PRA standard	TBD	M. Drouin, RES

Comments

9. Draft inspection procedure issued for comment by Regions 9/29/98; all regions have provided input on the draft IP. ACRS briefed 11/6/98. CRGR meeting scheduled for 12/8/98. The staff met with CRGR on 12/8/98 to discuss the proposed inspection procedure (IP). CRGR identified several concerns with the IP. The staff will need to address the CRGR comments. A revised target date for issuance of the IP will be provided after a resolution path is identified and agreed upon by NRR management.

10. ACRS & Commission review and PRA Steering Committee meeting required. Public workshop completed 9/30/98.

14. ACRS & Commission review, a public workshop, and PRA Steering Committee meeting required.

4a-d, 11-13, 15,16. Phase 1 is a standard for full power operation, internal events only. Phase 2 is for external events and shutdown. Dates are tentative due to uncertainty associated with the number and nature of comments that may be received, the ASME review and approval process and the success of the working group in writing the Phase 2 standard. This is an ASME initiative and; therefore, the schedules are set by ASME.

II. Topic Area: Reactor Inspection and Enforcement

SES Manager: M. Johnson, Acting Chief, **PEAS**/PIPB/DISP/NRR and J. Lieberman, Director, OE

A. Specific Issue: Risk Informed Baseline Inspection Program

Program Manager - Cornelius Holden, NRR and John Flack, RES

Objective: To develop and implement a more risk informed, efficient, and effective baseline inspection program. By risk informed, it is meant that the inspection program's scope will be defined primarily by those areas that are significant from a risk perspective and that the inspection methods used to assess these areas will take advantage of both generic and plant specific risk insights.

Coordination: Issues II.A. "Risk Informed Baseline Inspection Program," II.B. "Enforcement Program Initiatives," II.C. "Escalated Enforcement Program," III.A. "Performance Assessment Process Improvements," and VI.G "Event Reporting Rulemaking," require close coordination and the integration of specific tasks by the NRC staff. Responsible project managers are coordinating these activities by assessing the impact of proposed program changes with the other ongoing activities and ensuring that the overall objectives for each project are achieved. Examples include, intra-project task force participation, workshop attendance, concurrent review of projects and periodic senior management briefings. In addition, industry-developed initiatives such as the NEI New Regulatory Oversight Process are being reviewed by all project groups and evaluated for impact.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Establish management oversight panel (performance assessment and risk informed inspection program)	9/24/98 C	C. Holden, DISP
2. Issue detailed plan and team charter	9/30/98 C	J. Jacobson, DISP
3. Brief Commission TA's	9/24/98 C	J. Jacobson, DISP
4. Select improvement team members	9/30/98 C	C. Holden, DISP J. Jacobson, DISP

PRIOR TO JANUARY 28, 1999		
5. Support NRR public workshop on soliciting input on approaches to risk-informed inspection (RES to present options at workshop).	9/28/98C	J. Flack, RES
6. Solicit input from stakeholders on scope of inspection at regulatory assessment public workshop, coordinating with issue III.A.	9/28-10/1/98C	J. Jacobson, DISP
7. Re-define core inspection program objectives based upon oversight concept	10/98	B. Mallett, DISP
7. Meet with ACRS to discuss workshop results	10/2/98 C	J. Jacobson, DISP
8. Prepare draft recommendations on baseline inspection based on review of BWR and PWR PRA.	10/30/98 C	J. Flack, RES
9. Brief Commission on progress to date	11/2/98C	B. Mallett, DISP
10. Discuss with ACRS subcommittee proposed scope and approach	11/98C	J. Flack, RES B. Mallett, DISP
11. Develop draft inspection program objectives	11/98C	J. Flack, RES B. Mallett, DISP
12. Develop Commission Paper proposing a risk-informed baseline inspection program-(9800156) (9700238) (NRR)	12/98C	B. Mallett, DISP
13. Brief Commission TA's	12/98C	C. Holden, DISP
14. Communicate proposed changes to staff to obtain internal stakeholder feedback	12/98C	C. Holden, DISP
15. Develop transition strategy	1/99C	C. Holden, DISP
16. Brief Commission on recommended program changes (9800156) (9700238) (NRR)	1/99	C. Holden, DISP

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
17. Begin drafting program changes and conduct training of staff	2/99	C. Holden, DISP

THROUGH JUNE 30, 1999		
18. Begin pilot implementation of new core baseline inspection program	3/99 6/99	C. Holden, DISP

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
19. Complete transition to risk informed core baseline inspection program	10/99 1/00	C. Holden, DISP

Comments:

Status: All milestones are on track, there are no schedule changes, and no expected delays.

1. The establishment of a management oversight panel will ensure timely guidance on policy issues both prior to and during the development of the process. The oversight panel will also help to ensure organizational alignment and buy-in on the new process. The panel includes representatives from key stakeholder groups within the agency, primarily NRR and the Regions.

3 and 13. Commission TA's will be briefed at key milestones to help ensure organizational buy-in of the completed process. The full Commission will be briefed as part of a comprehensive briefing on the overall assessment process. These full Commission briefings are indicated on the action plan for Performance Assessment Process Improvements.

4. Improvement team members include representatives from key internal stakeholder groups, primarily regional and resident inspectors.

6. The scope of the inspection program was discussed at the assessment process public workshop. During this workshop, the staff received feedback from industry representatives as well as members of the general public. Also, the workshop results will be published and used to communicate to the staff the issues currently being considered in developing the new inspection program.

7. Original milestone 7 was deleted and subsumed in milestone 11 in order to develop objectives simultaneously. The inspection program objectives will be re-defined after agreement is reached on a redefined assessment process framework.

11-12. A team approach will be used to develop new inspection program objectives and draft the accompanying SECY paper. Included within the team will be a representative from the Office of Research, who will help in ensuring the new inspection program is risk informed.

14. An important part of the change management strategy for implementing the new inspection and assessment programs will be communication with the staff both during and after development.

15. "Change management" concerns should be addressed as part of developing the transition strategy.

17. Training of staff will include an overview of specific program changes as well as restatement of selected inspection fundamentals regarding interfaces with licensees.

18 & 19. Milestone dates changed based on consolidated transition plan.

Deferrals and Suspensions: SALP Program.

The expectation is that by January 1999 progress on the enhanced assessment process will be sufficient to determine whether the SALP process will be conducted in the future.

RES and NRR work assessing the effectiveness of the station blackout and anticipated transient without scram rules and generic safety issue A-45 (decay heat removal) will be deferred from 12/98 to 4/99. (9700346)-(NRR) (NRR)

II. Reactor Inspection and Enforcement

SES Manager: James Lieberman, Director, Office of Enforcement

B. Enforcement Program Initiatives

Issues/Lead Individual:

- 1) NRC-licensee documentation and disposition of non-risk significant violations
Mark Satorius
- 2) Severity Level IV violations
Mark Satorius
- 3) Industry Enforcement Process Proposals
Mark Satorius

Due to the manner that these three issues are linked, all are being considered under one Plan of Action.

Objective: Reduce unnecessary licensee burdens associated with responding to non-risk significant violations (Issues Nos. 1 and 2) utilizing initial stakeholder inputs and proposals and soliciting stakeholder feedback following implementation of Enforcement Program changes (Issue No.3), without losing the NRC's ability to detect licensee problems in a timely manner.

Coordination: Issues II.A. "Risk Informed Baseline Core Inspection Program," II.B. "Enforcement Program Initiatives," II.C. "Escalated Enforcement Program," III.A. "Performance Assessment Process Improvements," and VI.G "Event Reporting Rulemaking," require close coordination and the integration of specific tasks by the NRC staff. Responsible project managers are coordinating these activities by assessing the impact of proposed program changes with the other ongoing activities and ensuring that the overall objectives for each project are achieved. Examples include, intra-project task force participation, workshop attendance, concurrent review of projects and periodic senior management briefings. In addition, industry-developed initiatives such as the NEI New Regulatory Oversight Process are being reviewed by all project groups and evaluated for impact.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Implement an Enforcement Guidance Memorandum (EGM) to clarify guidance under the existing Enforcement Policy that provides licensees incentives to self-identify and correct problems in order to avoid the issuance of notices of violations.	EGM issued on 7/27/98C	M. Satorius
2. Monitor the success of EGM 98-006 on lessening the unnecessary burden to licensees by reducing the volume of Severity Level IV violations, including violations not cited and both those requiring and not requiring a response.	Begin 9/1/98 and continue	M. Satorius

PRIOR TO JANUARY 28, 1999		
3. Conduct a public meeting with stakeholders to solicit input on the manner that the Enforcement Policy may be revised.	9/3/98C	M. Satorius
4. Utilize previously received written inputs from external stakeholders that provides positions on the manner that the objectives should be accomplished.	9/18/98C	M. Satorius
5. Submit a Commission Paper incorporating the views of internal and external stakeholders that provides the Commission the staff's recommendation on the manner to achieve the objectives by proposing an Enforcement Policy change. (9800174) (OE)	11/3/98C	M. Satorius
6. Following Commission approves staff approval of the staff's Enforcement Policy revision and , the Revised Policy is published in the Federal Register, with the message to stakeholders that six months after implementation of the Revised Policy, public meeting/workshops will be held for stakeholder feedback.	11/16/98 TBD (see note)	M. Satorius
7. Brief the Commissioners Technical Assistants on the results of EGM 98-006 reducing unnecessary licensee burden	11/30/98 C	M. Satorius
8. Conduct Regional Enforcement Coordinator meeting/training on the Revised Enforcement Policy.	11/23/98 C	M. Satorius
9. Conduct video conferencing with Regional managers to outline the changes to the Enforcement Policy and provide agency expectations.	Week of 12/7/98 TBD (see note)	M. Satorius
10. Conduct training in the Regional offices, with a focus on agency expectations for the Revised Enforcement Policy. EDO/DEDE/DEDR provides senior management's expectations at the scheduled counterpart meetings attended by those individuals.	RI-11/4/9 8C RII-9/23/9 8C RIII-12/10 /98C RIV-10/14 /98 & 11/18/98 C	M. Satorius

PRIOR TO JANUARY 28, 1999		
11. Implement revised Enforcement Policy.	TBD (see note) (assume 12/16/98)	M. Satorius
12. Evaluate inspection data to determine the extent of success that EGM 98-006 had in reducing unnecessary burden to licensees. Provide this information to the Chairman for the Senate Hearing. (9800158) (OE)	12/16/98 12/22/98 C and update until prior to the time of the hearing	M. Satorius
13. Collect enforcement data following the implementation of the Revised Enforcement Policy, for later use in determining the success of the changes in accomplishing the objectives.	Begin 12/16/98; TBD (see note) and continue	M. Satorius

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
14. Solicit feedback from regional management, the inspection staff, and headquarters staff on the successes or failures of the Revised Enforcement Policy.	Spring 1999	M. Satorius
15. Conduct public meetings/workshops with stakeholders, one in the Washington area and one in an area around a Region, to solicit feedback on the successes and shortcomings of the Revised Enforcement Policy.	6/16/99	M. Satorius

BEYOND JUNE 30, 1999		
Milestone	Date	Lead

BEYOND JUNE 30, 1999		
16. Assemble the collective views of the staff and stakeholders to determine whether the Revised Enforcement Policy has accomplished the objectives, or whether further staff action is needed. Submit Commission paper. (9800159) (OE)	9/1/99	M. Satorius

Comments:

~~6., 9., 11., 13. These dates may change, based on the date of final Commission approval of the staff's proposed changes to the Enforcement Policy. The Commission is scheduled to vote on the revised Enforcement Policy by GOB 12/1/98, with the SRM expected approximately a week later.~~

6. This section will be completed one week after the issuance of the Enforcement Policy SRM.

9. This action will be completed 2 weeks after the issuance of the Enforcement Policy SRM.

11. This action will be completed 30 days after the Enforcement Policy is published in the Federal Register.

13. This action will start 30 days after issuance of the Enforcement Policy SRM.

II. Reactor Inspection and Enforcement

SES Manager: James Lieberman, Director, Office of Enforcement

C. Escalated Enforcement Program Initiatives - “Regulatory Significance”/Risk

Objective: Incorporate clearer risk-informed enforcement guidance in the treatment of escalated violations.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Conduct a public meeting with stakeholders to solicit input on the manner that risk should be incorporated into the Enforcement Policy.	9/3/98C	M. Satorius
2. Publish EGM to define interim enforcement process enhancements to enforcement involving “regulatory significance” through increased oversight and greater focus on safety.	11/25/98 C	M. Satorius
3. Conduct a public meeting with stakeholders to discuss application of regulatory significance.	12/17/98 C	M. Satorius
4. Conduct a second public meeting with stakeholders to discuss application of regulatory significance.	1/29/99	M. Satorius

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
4 5. Conduct a public meeting with stakeholders to discuss application of risk-informed enforcement.	2/99	M. Satorius
5 6. Submit a Commission Paper that addresses the use of “regulatory significance.” (9800069) (OE)	3/15/99	M. Satorius
6 7. Develop risk-informed examples for inclusion in the supplements of the Enforcement Policy.	3/15/99	M. Satorius
7 8. Discuss examples with stakeholders and solicit feedback	3/29/99	M. Satorius
8 9. Submit a Commission Paper utilizing the input from issue I.D.14 and the examples developed above to revise the Enforcement Policy. (9800155) (OE)	5/1/99	M. Satorius

Comments:

3. This effort has been integrated into the performance assessment process improvements.

~~3.~~ 4. Added ~~milestones~~ milestone to conduct a second public ~~meetings~~ meeting with stakeholders for ~~both regulatory significance and risk matters.~~ regulatory significance in order to assure that all stakeholders are provided an opportunity to input into the process.

~~5. The date for submission of the Commission Paper that addresses the use of "regulatory significance," is being moved to March 15, 1999. The reason for this move is that OE has provided the staff guidance in an EGM that defines interim process enhancements to enforcement involving "regulatory significance" through increased oversight and greater focus on safety. OE needs the experience and feedback from the implementation of the EGM to determine the most favorable manner to address "regulatory significance." In addition, the staff needs to incorporate the current work being performed by the framework, inspection, and assessment teams, to ensure that the concept of "regulatory significance" aligns with the agency's changes in inspecting and assessing licensees.~~

~~6 & 8~~ 7 & 9. Input will be provided by NRR and RES.

III. Topic Area: Reactor Licensee Performance Assessment

SES Manager: M. Johnson, Chief, PEAS/PIPB/DISP/NRR

A. Specific Issue: Performance Assessment Process Improvements (IRAP, Industry's Proposal, and Performance Indicators)

Program Manager: David Gamberoni

Objective: The objective of this task is to develop and implement improvements to the NRC plant performance assessment process to make it more risk-informed, efficient, and effective while combining the best attributes of the IRAP effort, the regulatory oversight approach proposed by NEI, and the staff efforts designed to develop risk-informed performance indicators.

Coordination: Issues II.A. "Risk Informed Baseline Inspection Program," II.B. "Enforcement Program Initiatives," II.C. "Escalated Enforcement Program," III.A. "Performance Assessment Process Improvements," and VI.G "Event Reporting Rulemaking," require close coordination and the integration of specific tasks by the NRC staff. Responsible project managers are coordinating these activities by assessing the impact of proposed program changes with the other ongoing activities and ensuring that the overall objectives for each project are achieved. Examples include, intra-project task force participation, workshop attendance, concurrent review of projects and periodic senior management briefings. In addition, industry-developed initiatives such as the NEI New Regulatory Oversight Process are being reviewed by all project groups and evaluated for impact.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Transition to an annual senior management meeting	6/30/98C	J. Isom, DISP
2. Review and discuss with NEI their proposed assessment process	8/14/98C	D. Gamberoni, DISP
3. Suspend SALP upon Commission approval	9/15/98C	T. Boyce, DISP
4. Hold public workshop to obtain external stakeholder input	10/1/98C	T.Frye, DISP D.Gamberoni, DISP
5. Research to provide risk insights on oversight framework (corner stones)	10/1/98C	M. Cunningham, RES
6. End of public comment period for performance assessment process improvement which began on 8/7/98.	10/6/98C	T. Frye, DISP

PRIOR TO JANUARY 28, 1999		
7. Brief ACRS to obtain their input	10/2/98C	M. Johnson, DISP

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
8. Brief Commission on results of public comments	11/2/98C	M. Johnson, DISP
9. Award contract for risk-based performance indicator development.	11/20/98 C	T. Wolf, AEOD
10. Brief ACRS to obtain their input	12/98 12/3/98C	M. Johnson, DISP
11. Research to provide recommendations on formulation of a risk-informed assessment and inspection concept.	Ongoing 2/98 12/23/98 C	M. Cunningham, RES
12. Brief Commission TAs	11/23/98 C	M. Johnson, DISP
13. Provide results of review of public comments and recommendation for changes to the assessment process to the Commission. Submit Commission paper. (9700238) (NRR)	1/99	M. Johnson, DISP
14. Brief Commission on recommendations (9700238) (NRR)	1/99	M. Johnson, DISP

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
15. Obtain Commission approval for implementation of recommended changes	3/99	M. Johnson, DISP
16. Obtain Industry approval to make public the data used in Industry's proposed Indicators for monitoring plant performance. Begin phase out of current Performance Indicator Program.	6/99	T. Wolf, AEOD
17.18. Complete development of implementation plan. Start phase-in of the revised assessment process.	6/99	M. Johnson, DISP
18. Begin trial application of risk-based performance indicators.	6/99	T. Wolf, AEOD

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
19. Complete trial application, brief Commission, and publish candidate risk-based indicators for public comment. (9800160) (AEOD)	11/99	T. Wolf, AEOD
20. Publish last Performance Indicator Report using current PIs	1/00	T. Wolf, AEOD
21. Hold public workshop on candidate risk-based performance indicators.	2/00	T. Wolf, AEOD
22. Complete phase-in of the revised assessment process	6/00	M. Johnson, DISP
23. Brief commission on proposed risk-based performance indicators developed cooperatively by NRC and industry (9800161) (AEOD)	10/00	T. Wolf, AEOD
24. Implement Commission approved risk-based performance indicators developed cooperatively by NRC and industry	1/01	T. Wolf, AEOD M. Johnson, DISP
25. Complete evaluation of implementation and effectiveness of the revised assessment process	6/01	M. Johnson, DISP

Comments:

9. Responsibility would shift to RES upon reorganization.

~~10. This item has been superceded by the Communication Plan.~~

~~10. An AGRS subcommittee brief will be conducted in November; however, the entire AGRS will not be briefed until December.~~

Deferrals and Suspensions: SALP Program

The expectation is that by January, 1999 progress on the enhanced assessment process will be sufficient to determine whether the SALP process will be conducted in the future.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: Chris Grimes, Director, PDLR/DRPM/NRR

A. Specific Issue: License Renewal (includes Calvert Cliffs, Oconee and Generic Process Improvements)

Objective: Demonstrate that license renewal applications submitted under 10 CFR Parts 54 & 51 can be reviewed effectively, efficiently and promptly.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Maintain Calvert Cliffs and Oconee schedules	Ongoing	C. Grimes, DRPM
2. Conduct bi-monthly management meetings with license renewal applicants	bi-monthly	C. Grimes, DRPM
3. Issued Policy Statement "Conduct of Adjudicatory Proceedings" Issued 63 FR 41, 872 (8/5/98)	7/28/98C	OGC
4. Issued case specific order- Calvert Cliffs	8/19/98C	OGC
5. Steering Committee bimonthly meeting with NEI Working Group	6/18/98C 8/20/98C 10/29/98C 1/14/99	C. Grimes, DRPM
6. ACRS subcommittee meeting on renewal process	7/16/98C	C. Grimes, DRPM
7. Agree on generic issue inventory/priority with NEI	9/98C	C. Grimes, DRPM
8. Increased emphasis on renewal with EC and LRSC	Ongoing	C. Grimes, DRPM
9. Staff complete technical RAIs - Calvert Cliffs	9/7/98C	C. Grimes, DRPM
10. Staff complete environmental RAIs - Calvert Cliffs	9/28/98C	C. Grimes, DRPM
11. ACRS subcommittee briefing on renewal activities	11/18/98C	C. Grimes, DRPM
12. Staff complete technical RAIs - Oconee	12/4/98 C	C. Grimes, DRPM
13. Staff complete environmental RAIs - Oconee	4/3/99 12/29/98C	C. Grimes, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
14. Issue §51.53 rule change to designate HLW transportation as a generic environmental impact for 60-day public comment.	2/99	D. Cleary, DRPM
15. Issue Draft Environmental Statement for comment - Calvert Cliffs	3/6/99	C. Grimes, DRPM
16. Complete Safety Evaluation Report (SER) and identify open items - Calvert Cliffs	3/21/99	C. Grimes, DRPM
17. ACRS subcommittee meeting on Calvert Cliffs SER and open items	4/99	C. Grimes, DRPM
18. ACRS full committee meeting on Calvert Cliffs SER and open items	5/99	C. Grimes, DRPM
19. Issue Draft Environmental Statement - Oconee	6/2/99	C. Grimes, DRPM
20. Complete SER and identify open items - Oconee	6/17/99	C. Grimes, DRPM
21. Complete §51.53 final rule change to designate HLW transportation as a generic environmental impact for Commission approval.	6/99	D. Cleary, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
22. ACRS subcommittee meeting on Oconee SER and open items	7/99	C. Grimes, DRPM
23. Upon Commission approval, publish §51.53 rule change designating HLW transportation as a generic environmental impact, to be effective in 30 days.	8/99	D. Cleary, DRPM
24. ACRS full committee meeting on Oconee SER and open items	9/99	C. Grimes, DRPM
25. Issue Supplemental SER and Final Environmental Statement - Calvert Cliffs	11/16/99	C. Grimes, DRPM
26. ACRS subcommittee meeting on Calvert Cliffs Supplemental SER	1/00	C. Grimes, DRPM

BEYOND JUNE 30, 1999		
27. ACRS full committee meeting on Calvert Cliffs Supplemental SER	2/00	C. Grimes, DRPM
28. Issue Supplemental SER and Final Environmental Statement - Oconee	2/12/00	C. Grimes, DRPM
29. ACRS subcommittee meeting on Oconee Supplemental SER	3/00	C. Grimes, DRPM
30. ACRS full committee meeting on Oconee Supplemental SER	5/00	C. Grimes, DRPM
31. Complete staff review of initial applications within 30-36 months	Ongoing	C. Grimes, DRPM
32 Hearing (if request granted)	Per Comm. Sched.	

Comments:

1. Commission approves detailed license renewal schedules in terms of significant review milestones that will be included in the Operating Plan and monitored for Congressional reports.

6 & 7. Steering Committee meetings with industry and ACRS subcommittee meetings with staff will continue periodically to ensure effective resolution of technical and process issues. The Steering Committee will periodically report progress to the Executive Council in accordance with the memo to Chairman Jackson dated 3/6/98.

14. Added milestones related to the changes to Part 51 that will designate high-level waste transportation as a generic environmental impact for the purpose of the license renewal review.

31. Next (third) application expected in early 2000.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: David Matthews, Deputy Director, DRPM/NRR

B. Specific Issue: 50.59 Rulemaking

Objective: To provide clarity and flexibility in existing requirements

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Issue SECY-98-171 providing proposed revisions to 10 CFR 50.59 for Commission review and approval	7/10/98C	E. McKenna, DRPM
2. Issue COMSECY 98-013 forwarding staff response to issues raised in SRM on SECY-97-205 (3/24/98)	5/27/98C	E. McKenna, DRPM
3. Conduct meeting with industry/public to solicit views on options for making 50.59 risk-informed	8/24/98C	M. Drouin, RES
4. ACRS Subcommittee Meeting	9/24/98C	M. Drouin, RES
5. Issue proposed rule changes on 10 CFR 50.59 for public comment	10/21/98C	E. McKenna, DRPM
6. Trial application of actual 50.59 test cases to assess options	10/30/98C	M. Drouin, RES
7. Discuss options and preliminary evaluation with ACRS subcommittee	11/19/98C	M. Drouin, RES
8. ACRS Full Committee	12/03/98C	M. Drouin, RES
9. Report to NRR on options with release to PDR for 1/99 workshop and with copy to Commission	12/15/98 12/28/98C	M. Drouin, RES
10. End of public comment period	12/21/98C	E. McKenna, DRPM
11. Public workshop to discuss 50.59 options	1/19/99	M. Drouin, RES
11. Resolve issues identified during comment period	1/99	E. McKenna, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
12. Paper to Commission summarizing public comments and forwarding recommendations on final rule language for Commission decision	2/19/99	E. McKenna, DRPM
13. Final report to NRR with recommendations	2/99	M. Drouin, RES
13. Commission feedback received	3/99	E. McKenna, DRPM
14. ACRS and CRGR review of final rulemaking package	2/99 4/99	
15. Issue paper containing final 10 CFR 50.59 rule to the Commission (9700191) (NRR) and provide recommendation on scope of 10 CFR 50.59 (9800044) (NRR)	2/99 4/30/99	E. McKenna, DRPM
16. Publish final rule change 10 CFR 50.59	4/99 6/99	E. McKenna, DRPM

Comments:

3, 4, 6-9, 11, 13. RES assessing options and recommending approach to make 50.59 risk-informed. New milestones and schedules (7, 9 and 11) revised to reflect plans for public workshop in 1/99. Milestones associated with risk-informed options for 50.59 have been integrated with milestones for risk-informed options for Part 50 (Topic I Issue A).

5. SRM issued 9/25/98. Notice published on 10/21/98 for 60 days. NMSS/SFPO is working in conjunction with NRR to modify 10 CFR 72.48 which is comparable to 10 CFR 50.59. (Contact: W. Kane)

7, 9. Staff met with ACRS subcommittee on 11/19/98. Draft paper provided to subcommittee on 11/20/98 as preparation for ACRS Full Committee on 12/3/98. Commission will then receive the version of the paper that reflects the staff's discussions with the ACRS and that is being publicly released to support the workshop planned for 1/99.

12-16. Milestones and schedules reflect staff request to revise schedule and approach, signed by EDO on 12/21/98. If this request is not approved, new Milestones 12 and 13 would be removed, and dates for Milestones 14-16 (renumbered to 12-14) would revert to previous dates.

Deferrals:

The start of RES work on low power and shutdown risk will be deferred from 10/98 to 1/99. (9800039) (RES)

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: David Matthews, Deputy Director, DRPM/NRR

C. Specific Issue: FSAR Update Guidance

Objective: To provide consistent guidance on information to be contained in FSAR

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Submit SECY-98-087 to Commission which contains proposed guidance on information to be contained in FSAR	4/20/98C	T. Bergman, DRPM
2. SRM/SECY-98-087 directs staff to work with industry to resolve issues and endorse industry guidance	6/30/98C	T. Bergman, DRPM
3. Issue staff comments on NEI 98-03 dated 7/8/98	9/1/98C	T. Bergman, DRPM
4. Receive revised NEI 98-03 (Final Draft Rev. 0)	9/30/98C	T. Bergman, DRPM
5. Issue staff comments on Final Draft Rev. 0	10/8/98C	T. Bergman, DRPM
6. Receive Rev. 0 of NEI 98-03 for endorsement	11/4/98C	T. Bergman, DRPM
7. CRGR review of draft regulatory guide that endorses industry guidance	12/8/98C	T. Bergman, DRPM
8. Submit paper with draft regulatory guide to Commission (9700198) (NRR)	12/31/98 1/5/99C	T. Bergman, DRPM
9. Publish draft regulatory guide endorsing NEI 98-03 for comment (60 days)	1/28/99	T. Bergman, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
10. Resolve issues identified during public comment period	5/30/99	T. Bergman, DRPM
11. ACRS and CRGR review of SECY and final regulatory guide	early June 1999	T. Bergman, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
12. Submit paper and final regulatory guide to Commission (9700198) (NRR)	8/1/99	T. Bergman, DRPM

Comments:

1. If closure can be reached with NEI, a regulatory guide will be the product; if not, a generic letter will be used.

~~6. Reflects actual date Rev. 0 was received.~~

~~7. Reflects scheduled date for CRGR meeting.~~ Staff met with CRGR as scheduled. CRGR has endorsed the staff's proposal.

8. Paper sent to the Commission 1/5/99.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: David Matthews, Deputy Director, DRPM/NRR

D. Specific Issue: Define Design Basis

Objective: To provide a clear definition of what constitutes design bases information.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. NEI submits 97-04 for information	10/8/97C	S. Magruder, DRPM
2. SRM/SECY-97-205 directs staff to continue to develop guidance regarding design bases issues	3/24/98C	S. Magruder, DRPM
3. Issue preliminary staff comments on NEI 97-04	8/18/98C	S. Magruder, DRPM
4. Meet with NEI to discuss staff comments on NEI 97-04	9/18/98C	S. Magruder, DRPM
5. NEI submits revised NEI 97-04 for review and endorsement	1/99	S. Magruder, DRPM
6. Resolve final staff comments	TBD	S. Magruder, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
7. ACRS and CRGR review of SECY and draft regulatory guide that endorses NEI 97-04	TBD	S. Magruder, DRPM
8. Submit paper with draft regulatory guide to Commission (9800044) (NRR)	TBD	S. Magruder, DRPM
9. Publish draft regulatory guide for public comment (60 days)	TBD	S. Magruder, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
10. Resolve issues identified during public comment period	TBD	S. Magruder, DRPM

BEYOND JUNE 30, 1999		
11. ACRS and CRGR review of paper and final regulatory guide	TBD	S. Magruder, DRPM
12. Submit paper and final regulatory guide that endorses NEI 97-04 to Commission (9800044) (NRR)	TBD	S. Magruder, DRPM

Comments:

5,6

NEI's best estimate for submitting a revision to NEI 97-04 is now 1/99. The reason for the delay in the submittal is that industry resources have been diverted to developing comments on the 10 CFR 50.59 rulemaking (Topic IV Issue B). The public comment period for the 10 CFR 50.59 rulemaking ends 12/21/98, and the NEI comment package is not expected until then.

Recent discussions with NEI indicate that the revision to NEI 97-04 may not provide sufficient information to meet NRC's objective of providing a clear definition of what constitutes design bases information as defined in 10 CFR 50.2. The industry is not developing specific criteria that would provide additional guidance for licensees to use when determining whether certain information is design basis information under 10 CFR 50.2 and, therefore, should be included in the UFSAR. In addition, the industry has not decided whether to seek the staff's endorsement of NEI 97-04. In parallel with NEI's efforts, the staff is preparing guidance to better identify regulatory design basis information. When the revision to NEI 97-04 is received, the staff will decide whether to continue to review NEI 97-04 or to publish its own guidance document.

8 & 12. The staff is preparing an extension request for that portion of WITS 9800044 that discusses developing guidance regarding design bases issues. Other portions of WITS 9800044 that relate to the recommendations in SECY-97-205 and the scope of 10 CFR 50.59 are not affected.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: Bruce Boger, Acting Associate Director for Projects, NRR

E. Specific Issue: Improved Standard TS

Lead: TSB Lead PM for each facility conversion

Objective: Conversion of facility technical specifications to the appropriate improved standard technical specifications will promote more consistent interpretation and application of technical specification requirements, thereby reducing the need for interpretations and frequent changes to the technical specifications. The goal for each milestone listed below is to complete the conversions currently under review such that the above objectives are met for the affected facilities.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Issue iSTS Amendments for McGuire 1&2 and Catawba 1&2	09/98C	ADPR
2. Issue iSTS Amendments for Oconee 1/2/3*	12/98 12/16/98 C	ADPR
3. Issue iSTS Amendments for Byron 1&2* and Braidwood 1&2*	12/98 12/22/98 C	ADPR
4. Issue iSTS Amendments for Comanche Peak 1&2*	1/99	ADPR

THROUGH JUNE 30, 1999*		
Milestone	Date	Lead
5. Issue iSTS Amendments for Wolf Creek*, Callaway*, and Diablo Canyon 1&2*	2/99	ADPR
6. Issue iSTS Amendments for Farley 1&2*	5/99	ADPR
7. Issue iSTS Amendment for Fermi 2*	5/99	ADPR

BEYOND JUNE 30, 1999*		
Milestone	Date	Lead
8. Issue iSTS Amendment for Palisades*	07/99	ADPR

Comments

* Completion of the milestones as listed depends upon the quality of the licensee's submittals and timeliness of response to staff RAls.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: John Stolz, ~~Acting Director~~ Chief, **PECB/DRPM/NRR**

F. Specific Issue: Generic Communications

Objective: Ensure the appropriate use of generic communications, increasing the efficiency of issuance, and utilizing the rulemaking process when appropriate.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Issue memorandum on immediate changes to generic letter process (ET review of strategy; graded approach)	8/7/98C	J. Stolz, DRPM
2. Meet with NEI for input on industry views on generic communications (Topic IV Issue K Milestone 3b(2))	8/27/98C	J. Stolz, DRPM
3. Complete self assessment and needed improvement to generic communications process. issue report	12/98 11/30/98 C	R. Dennig, DRPM
4. Prepare input for 1/13/99 Commission briefing on Reactor Licensing	1/6/99C	R. Dennig, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
Process improvements based upon self-assessment results completed in 12/98 11/98 (specific milestones to be determined)	TBD	R. Dennig, DRPM
45. Meeting with ACRS	2/99 4/99	R. Dennig, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
None		

Comments:

1. Generic communications discussed with INPO in telephone conference 7/31/98. NRR ET is briefed on proposed generic communications early in development process.
3. Report completed on 11/30/98. SECY paper transmitting report to Commission is in concurrence.
4. New milestone.
5. Scope of ACRS meeting expanded to include additional material on process improvements. Date change coordinated with ACRS.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: Bruce Boger, Acting Associate Director for Projects, NRR

G. Specific Issue: CALs

Objective: Confirmatory Action Letters (CALs) are issued to emphasize and confirm a licensee's or vendor's agreement to take certain actions in response to specific issues. The NRC expects licensees/vendors to adhere to any obligations and commitments addressed in a CAL and will issue appropriate orders to ensure that the obligations and commitments are met. The goal of the milestones listed below is to ensure that staff guidance on the use of CALs is appropriate and that the staff exercises appropriate discipline in the development and issuance of CALs.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Review existing CALs (all future CALs must be reviewed by Director, NRR)	9/30/98 C	ADPR
2. Reinforce expectations regarding use of current CALs to ADPR/Region management	9/24/98 C	D. Pickett, ADPR
3. Review/issue revised guidance documents for threshold for issuance of CALs (i.e., IMC 0350, procedures, etc.) to ensure the existence of clear criteria for consistent decision making.	4-1/98 11/25/98 C	D. Pickett, ADPR
4. Reinforce expectations regarding revised guidance on use of CALs to ADPR/Region management	4-1/98 11/30/98 C	D. Pickett, ADPR

Comments

~~Status: All milestones are on track, there are no schedule changes, and there are no expected delays.~~

~~3. Proposed revisions to the enforcement manual chapter were issued to regions/NMSS for comment on October 9, 1998. Comments on the proposed changes have been received and are currently being resolved. A final proposed redline/strikeout version of the enforcement manual is scheduled to be provided to OE by October 30, 1998.~~

~~Final redline/strikeout version of proposed changes to the enforcement manual was formally provided to OE via memorandum from Samuel Collins to James Lieberman dated November 2, 1998. It is anticipated that the applicable section of the enforcement manual will be updated by the end of November, 1998.~~

All actions associated with this task are complete. The applicable pages of the Enforcement Manual have been updated and distributed. A memorandum from the Director, NRR, to ADPR/Region management reinforcing expectations was issued on November 30, 1998.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: David Matthews, Deputy Director, DRPM/NRR

H. Specific Issue: Applicability of Backfit Rule to Decommissioning Activities

Objective: Resolve issue regarding proper interpretation and application of the Backfit Rule to decommissioning activities.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Issue initial determination on Maine Yankee backfit claim	4/21/98C	J. Roe, DRPM
2. Maine Yankee appeals backfit determination and presents backfit position to staff	6/9/98C	S. Weiss, DRPM
3. Brief EDO on the status of Commission paper on backfit rule	9/29/98C	S. Weiss, DRPM
4. Forward draft Commission paper on backfit rule to EDO (9800162) (NRR)	10/23/98C	S. Weiss, DRPM
5. Meeting with CRGR on backfit paper	10/27/98C	S. Weiss, DRPM
6. Determination of Maine Yankee backfit appeal	10/28/98C	J. Zwolinski, DRPE
7. Meeting with Maine Yankee regarding generic backfit issues	11/2/98C	S. Weiss, DRPM
8. Issue Commission paper on backfit rule (Topic IV Issue K Milestone 5.b) (SECY 98-253)	11/4/98C	S. Weiss, DRPM
9. Brief NEI on Commission decision	12/31/98 TBD	S. Weiss, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
None		

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
None		

Comments:

5. ACRS determined during its 11/98 meeting that it would not review SECY-98-253, "Applicability of Plant-Specific Backfit Requirements to Plants Undergoing Decommissioning."

9. Commission decision on SECY-98-253 is still pending. The NEI briefing cannot be planned until the Commission informs the staff of its decision.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: Brian Sheron, Acting Associate Director for Technical Review, NRR

I. Specific Issue: Requests for Additional Information

Objective: To refine/define RAI process and ensure that staff RAI's are adding value to the regulatory process.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Discuss issue of ensuring appropriateness of RAI's with management and staff (including content, quality and continued oversight) Conduct training on revised Office Letter 803 (milestone 9) when issued.	8/20/98C 1/5/99C 1/6/99C 1/14/99 Ongoing	B. Sheron, ADT
2. Communicate with licensees via telecon prior to issuing RAI.	Ongoing	B. Boger, ADPR
3. Meet with internal stakeholders to discuss possible closure of amendments with outstanding RAIs and improved tracking of amendments with outstanding RAIs.	9/14/98C	B. Sheron, ADT
4. Form panel of staff reviewers to brainstorm on suggested improvements to the RAI process. Letter issued to NEI on suggested improvements on 9/29/98.	9/15/98C	B. Sheron, ADT
5. Stakeholder meeting with NEI on license amendment and RAI process to solicit feedback from licensees. Meeting summary with action items issued 10/21/98.	10/5/98C	B. Sheron, ADT/ ADPR
6. Discuss issues with each technical branch in NRR.	11/98C Ongoing	B. Sheron, ADT
7. NRR licensing action steering group formed to work with industry steering group on improvements to the license amendment process - conducting periodic meetings.	10/98C 11/12/98 C 12/10/98 C Ongoing	W. Dean, ADPR/ ADT/DRPM

PRIOR TO JANUARY 28, 1999		
8. Discuss issues with regional division directors at DRS/DRP counterpart meetings.	12/1/98C DRS	B. Sheron, ADT
9. Issue guidance to staff on content, quality and threshold of RAI's and commencement of initial acceptance review. (Issued NRR Office Letter 803, Rev. 2, "License Amendment Review Procedures")	12/98 12/21/98 C	S. Peterson, ADT RES, NMSS
10. Monitor outgoing RAIs and responses	Ongoing	B. Sheron, ADT RES, NMSS
11. Solicit feedback from licensee's on RAIs and develop metrics for RAIs.	12/10/98 C Periodic	ADPR/ B. Sheron, ADT

Comments

Status : All milestones on track, there are no schedule changes and no expected delays.
 7. Efforts will be coordinated with risk-informed licensing panel (Topic I.A.III).

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: Bruce Boger, Acting Associate Director for Projects, NRR

J. Specific Issue: 2.206 Petitions

Objective: The objectives of the 2.206 Petition review process include ensuring the public health and safety through the prompt and thorough evaluation of any potential safety problem addressed by a petition filed under 10 CFR 2.206 and to ensure effective, timely communication with the petitioner (Management Directive 8.11). The objective of the actions listed below is to identify and implement measures to improve the timeliness of staff response to petitions.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Establish a Petition Review Board to ensure management involvement early in the process	10/97C	R. Subbaratnam, ADPR
2. Establish public availability of monthly 2.206 Petition Status Reports at the NRC Web site (http://www.nrc.gov/NRC/PUBLIC/2206/index.html)	04/98C	R. Subbaratnam, ADPR
3. Assess timeliness of resolution of 2.206 petitions and brief EDO on the results and any proposed process improvements	10/28/98 C	R. Subbaratnam, ADPR
4. Obtain stakeholder feedback on 2.206 process	12/98 1/99	R. Subbaratnam, ADPR/OE/NMSS
5. Commission information memorandum from EDO to discuss planned process improvements. (9800201)	12/98 1/5/99C	R. Subbaratnam, ADPR
6. Implement proposed 2.206 process timeliness improvements (if any)	12/98 1/99	R. Subbaratnam, ADPR/OE/NMSS

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
7. Show measured improvement in timeliness of resolution of 2.206 petitions	03/99	R. Subbaratnam, ADPR/OE/NMSS
8. Implement additional process improvements.	TBD after stakeholder feedback	R. Subbaratnam, ADPR/OE/NMSS

Comments

4. Contacting stakeholders during December was more difficult than expected.
5. Information memorandum to Commission 1/5/99.
6. Process improvement implementation will take place after the information memorandum is finalized.

IV. Topic Area: Reactor Licensing and Oversight

SES Manager: John F. Stolz, Chief, PECB/DRPM/NRR

K. Specific Issue: Application of the Backfit Rule

Objective: Ensure that the staff closely adheres to the backfit rule, 10 CFR 50.109 in evaluating all additional requirements, expansion in scope or unique interpretations against actual impact on public health and safety. Focus will be directed on risk-informed, performance-based regulation; also coordinating with backfit related concerns on Generic communications (IV.F) and Decommissioning (IV.H) and Evaluation of Industry Proposals and Rulemaking (I.A).

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Response to NEI letter 8/11/98. NEI recommendation for Near-Term Reg. Improvement - Recommendation 4, "Application of the Backfit Rule" (a. Decommissioning; b. Averted On-site Costs)	11/9/98C	R. Dennig, DRPM
2. Meeting with NEI on backfit concerns	11/3/98C	R. Dennig, DRPM; AEOD; OGC
3. Brief CSIS on backfitting processes	11/19/98 C	J. Stolz, DRPM
34. Prepare staff positions on backfit-related issues a. Averted on-site Costs *b. Handling of compliance backfit considering risk of non-compliance (1) consider Exemptions per 10 CFR 50.12 (2) Early industry involvement in Generic Communications process (Topic IV. Issue F Milestone 3*).	12/98C 12/98C	R. Dennig, DRPM R. Dennig, DRPM; OGC
45. Meeting with EDO on Items 3 a, b	12/98 1/99	R. Dennig, DRPM
56. Meeting with NEI on Items a. Items 3a & b *b. Commission decision on backfit to Decommissioning Activities (Topic IV. Issue H Milestone 9*)	12/98 1/99 12/98 1/99	R. Dennig, DRPM S. Weiss, DRPM

PRIOR TO JANUARY 28, 1999		
67. Commission Papers *a. Options on Backfitting implications from modifying Part 50 to be risk-informed (Topic I Issue A Milestone 10) (9800152) (NRR) b. on Items 3a, b (9800175) (NRR) (Draft)	41/98 12/23/98 C 1/99	R. Barrett, DSSA M. Cunningham, RES R. Dennig, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
78. Meeting with CRGR on Draft Commission Paper (tentative)	2/99	R. Dennig, DRPM
89. Meeting with ACRS on Draft Commission Paper (tentative)	2/99 3/99	R. Dennig, DRPM
910. Issue Commission Paper on Items 3a, b	3/99	R. Dennig, DRPM
1011. CRGR Yearly Meeting with Nuclear Utility Backfitting and Reform Group (NUBARG) on Backfit Issues	Spring 99	CRGR

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
1112. CRGR Annual Report - Includes Industry Feedback on Effectiveness of Backfitting Process	Summer 99	CRGR
1213. Backfit Training at Headquarters and Regions	FY99	AEOD/NRR/HR

Comments:

~~1. Response drafted sent to SECY 10/5/98, with Commission for concurrence.~~

~~2-3. Additional leads identified.~~

~~6b. Commission paper identified as draft.~~

~~7-9. New milestones.~~

3. New milestone.

4. Staff positions drafted; working into draft SECY paper. Beginning process of obtaining awareness, buy-in from key staff and management.

5,6. Delays due to scheduling conflicts.

~~6a. Schedule slipped one week in order to address many NRR staff comments and concerns regarding potential changes to 10 CFR Part 50 discussed in the paper, including the staff's proposed recommendations.~~

* Reference Milestone on other Topics/Specific Issues noted.

V. Topic Area: NRC Organizational Structure and Resources

SES MANAGER: Paul E. Bird, Director, HR

A. Specific Issue: Reorganization - Restructuring Line Organizations

Objective: To improve organizational effectiveness and align resources required to carry out NRC planned activities through internal functional realignments and human resource re-allocations.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Offices initiate plans for proposed restructuring	8/19/98C	J. McDermott; Office Directors & Regional Administrators
2. All Employees Meeting	9/3/98C	P. Bird
3. Restructuring proposals submitted to Commission (9800163) (HR)	9/30/98C 10/1/98C	P. Bird
4. Completion of Commission review of restructuring proposal: COMSECY 98-31 SECY 98-228	11/25/98 C 12/9/98 12/10/98 C	John C Hoyle, SECY

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
5. Partnering process completed for reorganization packages	1/11/99 1/31/99	M. Fox; Office Directors & Regional Administrators
6. Reorganization plans finalized	2/16/99 2/26/99	J. McDermott; Office Directors & Regional Administrators
7. Reorganization implementation begins	3/2/99 3/12/99	J. McDermott; Office Directors & Regional Administrators

THROUGH JUNE 30, 1999		
8. Reorganizations effective	3/31/99	J. McDermott; Office Directors & Regional Administrators

Comments:

3. Memo to Chairman Jackson 9/30/98 and SECY 98-228 dated 10/1/98.
4. SRM for COMSECY 98-31 issued 11/25/98. ~~Version D of draft SRM for SECY 98-228 issued 12/4/98. Comments are requested by COB 12/7/98.~~ 12/10/98.
- 5 6, & 7. Dates extended consistent with change in #4 above and delayed initiation of partnership process. Post-reorganization implementation activities, such as personnel actions, physical moves, position description and performance plan updates, will occur between April-August 1999.

V. Topic Area: NRC Organizational Structure and Resources

SES MANAGER: Paul E. Bird, Director, HR

B. Specific Issue: Achieving 1:8 supervisor/manager-to-employee ratios

Objective: To reduce supervisory and SES positions to achieve an agency-wide supervisor/manager-to-employee ratio target of one supervisor/manager for every eight NRC employees.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Continue existing supervisor/manager-to-employee ratio reduction efforts	Ongoing	J. McDermott; Office Directors & Regional Administrators
2. All Employees Meeting	9/3/98C	P. Bird
3. Quarterly assessment of supervisor/manager-to-employee ratio	10/30/98C	J. McDermott
4. Develop targeted strategies to achieve supervisory ratio goals	10/27/98C	J. McDermott
5. Year end assessment of supervisor/manager-to-employee ratio incorporating the results of attrition, including the effect of early outs or buy outs	1/99	J. McDermott

3. & 4. Assessment and strategies forwarded to EDO 10/27.

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
6. Complete implementation of reorganizations developed to achieve streamlining goals	3/31/99	J. McDermott; Office Directors & Regional Administrators
7. Implement strategies to achieve supervisory ratio targets	3/31/99	J. McDermott; Office Directors & Regional Administrators
8. Quarterly assessment of supervisor/manager-to-employee ratio	4/99	J. McDermott

THROUGH JUNE 30, 1999		
9. Implement strategies to achieve supervisory ratio targets	5/31/99	J. McDermott; Office Directors & Regional Administrators

BEYOND JUNE 30, 1999		
Milestones	Date	Lead
10. Quarterly assessment of supervisor/manager-to-employee ratio	7/99	J. McDermott
11. Implement strategies to achieve supervisory ratio targets	7/15/99	J. McDermott; Office Directors & Regional Administrators

Comments:

The milestones in the table above focus only on those aspects of the streamlining effort that address the supervisor/manager-to-employee ratio. Activity extends beyond the March 31, 1999, deadline established for the structural changes contained in Issue A to accommodate implementation of personnel placements.

V. Topic Area: NRC Organizational Structure and Resources

SES MANAGER: Paul E. Bird, Director, HR

C. Specific Issue: Increased employee involvement

Objective: To enhance organizational effectiveness under the specific conditions imposed by the agency-wide streamlining effort --including functional realignments, reductions in supervisory/managerial personnel, and increased spans of management control --by delegating greater responsibility and accountability to individual employees and fostering greater interactive communications between employees and management.

PRIOR TO JANUARY 28, 1999		
MILESTONE	DATE	Lead
1. Continue previous general efforts to foster delegations of responsibility and accountability to employees and more interactive communications between employees and managers. Monitor office progress	Ongoing	J. McDermott; Office Directors & Regional Administrators
2. All employees meeting	9/3/98C	P. Bird
3. Provide guidance to managers on the need to consider greater use of delegations of responsibility and accountability to employees.	10/9/98	

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
3. Provide guidance to managers and supervisors on employee involvement concepts, including direction and assignment of work, delegation of authority, quality control, and responsibility and accountability for outputs and outcomes.	3/2/99 3/12/99	J. McDermott
4. Begin interactive meetings, consistent with the communications plan now under development, between office managers/supervisors and staff.	3/2/99 3/12/99	J. McDermott; Office Directors & Regional Administrators; supervisors & managers

Comments:

The milestones for this issue establish a time period, consistent with the schedule for restructuring provided in Issue A, for beginning the office/region process of increasing employee involvement and engaging staff in the transformation process to a new culture.

3 & 4. Date revised consistent with date change to item 7, issue A (restructuring).

VI. Topic Area: Other Agency Programs and Areas of Focus

SLS: Robert Wood, PGEB/DRPM/NRR
 SES Manager: Lawrence Chandler, OGC

A. Specific Issue: License Transfers

Objective: To ensure that license transfers are conducted in a timely and technically correct manner and that review and submittal guidance is appropriately disseminated.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Issued proposed 10CFR Part 2 Subpart M hearing process - paper to Commission (SECY 98-197)	8/14/98C	J. Gray, OGC
2. Publish proposed rule on license transfer (see SECY-98-197)	9/11/98C	J. Fitzgerald, OGC
3. Submit final rules to Commission	11/3/98C	J. Fitzgerald, OGC
4. Commission approves/affirms final rules	11/24/98C	J. Fitzgerald, OGC
5. Publish final rules in <u>Federal Register</u> .	12/4/98C	J. Fitzgerald, OGC
6. Final rules are effective	12/4/98C	J. Fitzgerald, OGC
7. Draft SRP re: Foreign ownership to Commission, SECY 98-246	10/23/98C	S. Hom, OGC
8. Commission provides comments through issuance of SRM	12/18/98 TBD (see note)	S. Hom, OGC
9. Revised SRP published in Federal Register for public comments	1/11/99 TBD (see note)	S. Hom, OGC

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
10. Complete technical review of TMI-1 transfer	See Comment 3/4/99	R. Wood, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
11. Revised SRP based on public comments to Commission	03/10/99	S. Hom, OGC
12. Commission approves final SRP	3/31/98	S. Hom, OGC
13. Issue final SRP on foreign ownership	4/99	S. Hom, OGC R. Wood, DRPM
14. Provide Commission with a proposed final criteria for triggering a review under 10 CFR 50.80 regarding the transfer of operating authority to non-owner operators (i.e., use of contract service operating companies). (9800015) (NRR)	6/25/99	R. Wood, DRPM
15. Issue lessons learned from AmerGen TMI-1 transfer	6/99	R. Wood, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
16. Develop SRP on technical qualifications	12/99	DRCH
17. Develop integrated SRP on license transfer process reflecting lessons learned and process improvements	12/99	R. Wood, DRPM S. Hom, OGC

Comments:

4, 5. Commission approval of the final license transfer hearing rule and the rule's publication in the Federal Register have been delayed approximately 1 week. However, this is not expected to affect completion of other milestones.

5. Date changed to allow 30-day period between publishing the final rule in the Federal Register and the final rule becoming effective.

~~7. Submittal + 3 months~~

~~8-12. Added additional milestones to schedule.~~

8,9. Dates will be established following issuance of SRM.

13. OGC sent a draft SRP (with NRR concurrence) to the Commission on 10/23/98, SECY-98-246. The description of this milestone has been modified to reflect that actual work product and its completion schedule has been accelerated.

17. Integration of all license transfer review criteria (via financial qualifications, decommissioning funding assurance, technical qualifications, foreign ownership, and antitrust).

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: Chris Grimes, Director, PDLR/DRPM/NRR

B. Specific Issue: AP-600 Design Certification Rulemaking

Objective: Issue FDA and design certification rule

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Issue FDA	9/3/98C	T. Quay, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
2. Issue proposed rule [PRM] (9200142) (NRR)	3/99	J.N. Wilson, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
3. Issue Final Rule [FRN] (9200142) (NRR)	10/99	J.N. Wilson, DRPM

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: William F. Kane, Director, Spent Fuel Project Office

C1. Specific Issue: TN-68 (Dual Purpose) Cask Review

Objective: To issue a Part 72 (storage) SER and certificate of compliance (through rulemaking) and a Part 71 (transportation) certificate of compliance for the TN-68 dual purpose cask system (Comment 1)

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Staff receives response to storage RAI	09/14/98 C	M. Ross-Lee, SFPO
2. Staff issues second storage RAI, if necessary	12/03/98 C	M. Ross-Lee, SFPO
3. Staff receives response to second storage RAI	01/99	M. Ross-Lee, SFPO

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
4. Staff issues user need memorandum/rulemaking	03/99	E. Easton, SFPO
5. Staff issues draft SER and CoC for rulemaking	05/99	M. Ross-Lee, SFPO

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
6. Staff completes rulemaking; issues CoC for use under Part 72	04/00	E. Easton, SFPO P. Holahan, IMNS

Comment:

1. The storage review is being completed prior to the transportation review; the transportation review schedule will be determined at a subsequent time. The review schedule is based upon the assumption that the applicant will supplement its application and response to staff requests for additional information on the schedule noted. At this time, no significant issues have been identified. The licensee for Peach Bottom 1 & 2 intends to utilize this cask system.

Milestone 2 - request for additional information issued on December 3, 1998

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: William F. Kane, Director, Spent Fuel Project Office

C2. Specific Issue: BNFL/SNC TranStor (Dual Purpose) Cask Review

Objective: To issue a Part 72 (storage) SER and certificate of compliance (through rulemaking) and a Part 71 (transportation) certificate of compliance for the BNFL/SNC dual purpose cask system (Comment 1)

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Applicant submits response to 12/29/97 RAI	11/27/98 C	T. Kobetz, SFPO

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
.2. Staff issues user need memorandum/rulemaking	03/99	E. Easton, SFPO
.3. Staff receives updated SAR from applicant	06/99	T. Kobetz, SFPO
.4. Staff issues draft SER and CoC for rulemaking	07/29/99	T. Kobetz, SFPO

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
.5 Staff completes rulemaking; issues CoC for use under Part 72	06/00	E. Easton, SFPO P. Holahan, IMNS

Comment:

Milestone 2: The storage review is being completed prior to the transportation review; ~~the transportation review schedule will be determined at a subsequent time.~~ At this time, no significant issues have been identified, but the applicant must update the safety analysis report by June 1999. This review is associated with the Part 72 Trojan ISFSI (site-specific) license application, PFS, LLC intends to utilize this cask system as well.

Milestones 1-5:

By letter dated 09/18/98, the applicant notified the NRC that its response to the staff's 12/29/97 request for additional information would be delayed a month due to the need to support closure of issues associated with the VSC-24 cask system, to support the Trojan ISFSI application, to support existing cask users, and to ensure a complete and quality RAI response.

Based on SFPO's work schedule and in accordance with its staff interactions with applicant's approach, the TranStor storage cask technical review was rescheduled for completion on July 29, 1999. A letter advising the applicant of the revised schedule was issued on October 2, 1998.

In a subsequent telephone conversation held on October 12, 1998, the applicant informed the staff that it would again need to delay its response to the staff's 12/29/97 request for additional information. The staff noted that it would reschedule its review upon receipt of the applicant's written notification of the delay.

By letter dated October 15, 1998, the applicant informed the staff that it would delay the TranStor storage submittal until November 20, 1998, and the TranStor transportation submittal until December 23, 1998. ~~The staff evaluated the impact of this delay on the current review schedule and, b~~By letter dated October 30, 1998, ~~the staff~~ informed the applicant ~~that, assuming~~ based on receipt of their submittal by November 20, ~~that~~ the review schedule for the TranStor storage submittal would remain as scheduled, with completion of the storage SER and CoC by July 29, 1999.

Milestones 1 through 5 - In a ~~November 24, 1998~~ telephone conference call ~~held on November 24, 1998~~, the applicant informed the staff that it would submit its response to the second request for additional information on its storage application on November 30, 1998 (vs. November 20). At this time, the staff does not anticipate an impact on its review schedule.

Milestone 1 - In a letter dated November 27, 1998, the applicant submitted its response to the December 29, 1997, request for additional information on its storage application.

In a telephone conversation held on December 10, 1998, and subsequently by letter dated the same day, the applicant informed the staff that it would not meet the December 23, 1998, due date for the response to the TranStor transportation RAI due to competing resource needs. The applicant stated, in writing, it will provide a revised submittal date by December 31, 1998. At this time, it is unknown what impact this may have on the transportation review.

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: William F. Kane, Director, Spent Fuel Project Office

C3. Specific Issue: Holtec HISTAR 100 (Dual-Purpose) Cask Review

Objective: To issue a Part 72 (storage) SER and certificate of compliance (through rulemaking) and a Part 71 (transportation) certificate of compliance for the Holtec HISTAR 100 dual purpose cask system (Comment 1)

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Staff issues user need memorandum/rulemaking	07/24/98 C	E. Easton, SFPO
2. Staff issues draft storage SER and CoC for rulemaking (Part 72)	09/30/98 C	M. Delligatti, SFPO

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
3. Staff issues transportation (Part 71) CoC	03/99	M. Delligatti, SFPO

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
4. Staff completes rulemaking; issues CoC for use under Part 72	08/99	E. Easton, SFPO P. Holahan, IMNS

Comment:

1. This review is being performed to support spent fuel storage requirements at Dresden 1 and Hatch 1 & 2, and PFS, LLC intends to utilize this cask system.

2. The draft storage SER and CoC were issued on 09/30/98. The package was sent to NMSS/INMS to commence the rulemaking process on 09/30/98. **The EDO approved the Holtec HISTAR 100 proposed rule on December 15 , 1998**

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: William F. Kane, Director, Spent Fuel Project Office

C4. Specific Issue: Westinghouse WESFLEX (Dual Purpose) Cask Review

Objective: To issue a Part 72 (storage) SER and certificate of compliance (through rulemaking) and a Part 71 (transportation) certificate of compliance for the Westinghouse WESFLEX dual purpose cask system (Comment 1)

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Staff issues RAI for base storage system and W21 canister	10/22/98 C	M. Bailey, SFPO
2. Staff issues RAI for W44 canister	11/23/98 C	M. Bailey, SFPO
3. Staff issues RAI for W74 canister	12/21/98 C	M. Bailey, SFPO

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
4. Staff receives responses to RAIs	03/99	M. Bailey, SFPO

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
5. Staff issues final RAI, if necessary	07/99	M. Bailey, SFPO
6. Staff receives response to RAI, if necessary	10/99	M. Bailey, SFPO
7. Staff issues user need memorandum/rulemaking	12/99	E. Easton, SFPO
8. Staff issues draft SER and CoC for rulemaking	01/00	M. Bailey, SFPO
9. Staff complete rulemaking; issues CoC for use under Part 72	12/00	E. Easton, SFPO P. Holahan, IMNS

Comment:

1. The storage review is being completed prior to the transportation review. The transportation application was resubmitted in May 1998, and the transportation review schedule will be determined at a subsequent time. Big Rock Point and Palisades intend to utilize this cask system.

~~Milestone 1 - RAI for base storage system design and W21 canister (21 PWR fuel assemblies) issued on 10/22/98.~~

~~Milestone 2 - RAI for W44 canister was issued on November 23, 1998.~~

Milestone 3 - RAI for W74 canister was issued on December 21, 1998.

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: William F. Kane, Director, Spent Fuel Project Office

C5. Specific Issue: NAC-STC/MPC (Dual Purpose) Cask Review

Objective: To issue a Part 72 (storage) SER and certificate of compliance (through rulemaking) and a Part 71 (transportation) certificate of compliance for the NAC-STC/MPC dual purpose cask system (Comment 1)

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Staff receives response on transport RAI	08/07/98 C	T. McGinty, SFPO
2. Staff receives response on storage RAI	10/08/98 C	T. McGinty, SFPO
3. Staff issues user need memorandum/rulemaking	12/03/98 C	E. Easton, SFPO

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
4. Staff issues Part 71 (transportation) CoC	03/99	T. McGinty, SFPO
5. Staff issues draft storage SER and CoC for rulemaking	03/99	T. McGinty, SFPO

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
6. Staff complete rulemaking; issue CoC for use under Part 72	02/00	E. Easton, SFPO P. Holahan, SFPO

Comment:

1. The storage and transportation review are being conducted concurrently. At this time, no significant issues have been identified, but the applicant must respond by the time-frame noted in order for the staff to maintain this schedule. The licensee for Yankee/Rowe intends to utilize this cask system.

Milestone 3 - User need memorandum to support rulemaking was issued December 3, 1998

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: William F. Kane, Director, Spent Fuel Project Office

C6. Specific Issue: NAC-UMS (Dual Purpose) Cask Review

Objective: To issue a Part 72 (storage) SER and certificate of compliance (through rulemaking) and a Part 71 (transportation) certificate of compliance for the NAC-UMS dual purpose cask system (Comment 1)

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Staff issues storage RAI	10/30/98 C	T. McGinty, SFPO
2. Staff receives RAI response	01/99	T. McGinty, SFPO

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
3. Staff issues second storage RAI, if necessary	06/99	T. McGinty, SFPO

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
4. Staff receives second storage RAI response	08/99	T. McGinty, SFPO
5. Staff issues user need memorandum/rulemaking	08/99	E. Easton, SFPO
6. Staff issues draft storage SER and CoC for rulemaking	11/99	T. McGinty, SFPO
7. Staff completes rulemaking; issues CoC for use under Part 72	10/00	E. Easton, SFPO P. Holahan, IMNS

Comment:

1. The storage review is being completed prior to the transportation review; the transportation review schedule will be determined at a subsequent time. At this time, no significant issues have been identified, but applicant must respond by the time-frame noted in order for the staff to maintain this schedule. The licensees for Fitzpatrick, **Maine Yankee**, and Palo Verde 1, 2 & 3 intend to utilize this cask system.

~~Milestone 1 - Storage RAI issued on October 30, 1998.~~

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: William F. Kane, Director, Spent Fuel Project Office

C7. Specific Issue: TN-West MP-187 (Dual-Purpose) Cask Review

Objective: To issue Part 71 (transportation) certificate of compliance for MP-187 transportation cask system

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Staff starts final review and SER compilation phase	08/03/98 C	M. Raddatz, SFPO
2. Staff issues Part 71 certificate of compliance (Comment 1)	09/10/98 C	M. Raddatz, SFPO

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
None		

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
None		

Comment:

1. This transportation system is the transport component of the TN-West NUHOMS storage design. As initially certified, its authorized contents will be limited to B&W fuel, although it may be amended at a later date to address other fuel types. This action supports the decommissioning of the Rancho Seco spent fuel pool.

Milestone 2 - This action is complete

VI. Topic Area: Other Agency Programs and Area of Focus

SES Manager: Seymour Weiss, Director, PDND/DRPM/NRR

D. Specific Issue: Decommissioning Decisions

Objective: Provide timely decisions on current issues and provide framework for decommissioning activities.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Provide response to SRM for SECY-98-075 (DSI-24) (W9700089) (NRR):	11/4/98C	M. Masnik, DRPM
1a. Form task team to develop and provide input for Commission paper	7/24/98C	T. Markley, DRPM
1b. Evaluate applicability of using templates for decommissioning licensing actions	8/21/98C	P. Harris, DRPM
1c. Develop integrated set of milestones for addressing decommissioning initiatives under development or contemplated	8/21/98C	R. Dudley, DRPM
1d. Complete draft Commission paper for concurrence	9/2/98C	T. Markley, DRPM
1e. Submit paper to Commission (9700089) (NRR)	11/4/98C	T. Markley, DRPM
2. Meeting with NEI and industry to present Commission integrated milestones for decommissioning initiatives necessary for above rules and existing rules	1/15/99	S. Weiss, DRPM
3. Complete the following pending licensing actions:		
3a. Maine Yankee Exemptions from Financial Protection Requirements of 10 CFR 50.54(w) and 10 CFR 140.11	12/15/98 1/7/99C	M. Webb, DRPM
3b. Haddam Neck Exemptions from Financial Protection Requirements of 10 CFR 50.54(w) and 10 CFR 140.11 Technical Specification change to seismic monitoring	11/19/98 C 12/31/98 TBD - See note	T. Fredericks, DRPM

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
3c. Big Rock Point Defueled Emergency Plan exemption Defueled Emergency Plan approval Defueled Technical Specifications revision	10/30/98 C 10/30/98 C 11/30/98 2/26/99	P. Harris, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
4. Big Rock Point Defueled QA Plan	11/30/98 2/26/99	P. Harris, DRPM
5. Maine Yankee Technical Specification change to spent fuel pool water level	1/15/99 1/29/99	M. Webb, DRPM
46. Complete the following pending licensing actions:		
4a7a. Maine Yankee Exemption from 10 CFR 70.24 Criticality Accident Monitoring Requirements	4/15/99	M. Webb, DRPM
4b7b. Zion Exemption from 10 CFR 70.24 Criticality Accident Monitoring requirements	4/16/99	T. Markley, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
58. Complete the following pending licensing actions:		
5a8a. Maine Yankee Modification of License Conditions Technical Specifications change to liquid and gaseous release limits	7/30/99 8/15/99	M. Webb, DRPM
5b8b. Haddam Neck Technical Specification change to refueling and admin requirements	9/30/99	T. Fredericks, DRPM

Comments:

3a, ~~5~~ Completion date for technical specification change to spent fuel pool water level revised to reflect additional time needed to complete amendment as a result of RAI response from licensee. Planned completion date for the Maine Yankee TS change for SFP water level has been extended 2 weeks due to a higher priority being assigned to the Maine Yankee backfit appeal action.

The planned completion date for the Maine Yankee financial protection exemption was extended 3 weeks to allow additional time to obtain final management concurrence.

3b. ~~Completion of Staff RAI on~~ technical specification change to seismic monitoring ~~transmitted to licensee on 12/3/98.~~ is expected to be delayed beyond 12/31/98. Licensee's response needs to submit additional information, which is not expected until early 1999. The staff's completion date ~~for this milestone~~ will be revised once the date of the expected submittal from the licensee is known.

3c~~4~~. Completion of the defueled technical specifications and approval of the defueled QA Plan are expected to be delayed beyond 11/30/98. Licensee notified staff on 11/19/98 that it would be submitting a supplement withdrawing portions of its previous submittals, but provided no expected submittal date. The staff's completion date will be revised once more information on the contents of the expected submittal is known. Supplemental information, including withdrawal of a portion of the original request, was submitted by the licensee. Due date has been revised to reflect time needed to complete the licensing action.

Note: ACRS and CRGR have declined review of the DSI-24 Commission paper.

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: Susan F. Shankman, Dep. Director, Licensing and Inspection Directorate, SFPO

E. Specific Issue: PGE-Trojan Reactor Vessel Shipment Application

Objective: To issue Part 71 (transportation) approval to ship the Trojan reactor vessel, with internals, for disposal in the State of Washington

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Staff prepares SER for Part 71 approval	09/30/98 C	J. Cook, SFPO
2. Staff prepares EA	09/30/98 C	J. Cook, SFPO
3. Staff waste classification, if necessary (separate SECY memorandum) (980022) (NMSS)	08/17/98 C	J. Hickey, DWM
4. Staff prepares negative consent SECY paper on transportation and FONSI (9800165) (NMSS)	10/02/98 C	J. Cook, SFPO
5. Commission issues SRM, if appropriate, on Part 71 exemption (Comment 1)	10/22/98 C	OCM
6. Staff issues Part 71 decision	10/29/98 C	S. Shankman, SFPO

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
None		

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
7. Inspection follow-up prior to and during shipment (Comment 2)	08/99	B. Spitzberg, RIV

Comments:

1. The following actions have occurred in parallel to staff action:

(1) The State of Washington prepared a technical evaluation for disposal in September 1998. The State of Washington approved the US Ecology, Inc. disposal plan on November 24, 1998.

(2) The Department of Transportation (DOT) must grant an exemption. The DOT published PGE's application for exemption for the Trojan shipment on October 21, 1998 [63 FR 56287].

DOT approved the exemption on November 23, 1998.

(3) The State of Oregon must approve a change to the utility's Decommissioning Plan to allow shipment of the vessel intact. The staff met with the State of Oregon's Office of Energy, Energy Facility Siting Council, which subsequently approved the change to the Trojan Decommissioning Plan on October 15, 1998. By letters dated November 11 and November 17, 1998, the State of Oregon approved the shipment plan.

~~2. PGE's decision to grout the reactor vessel is scheduled to occur in November 1998. The actual grouting would commence in December 1998, and vessel shipment would occur in August 1999. Staff actions at these points would be to inspect as appropriate.~~

~~Milestone 6 - This action was completed on October 29, 1998. The associated congressional correspondence has been sent.~~

2. The Trojan reactor vessel has been successfully filled with grout. It was accomplished in two pours (12/03/98 and 12/09/98), and both were witnessed by an NRC inspector. The Trojan reactor vessel shipment is scheduled for August 1999.

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: John Stolz, Chief, PECB/DRPM/NRR

F. Specific Issue: Event Reporting Rulemaking

Objective: Revise event reporting requirements to reduce the reporting burden associated with events of little or no risk significance, obtain information better related to risk, and extend reporting time limits consistent with the need for prompt NRC action.

Coordination: Issues II.A. "Risk Informed Baseline Core Inspection Program," II.B. "Enforcement Program Initiatives," II.C. "Escalated Enforcement Program," III.A. "Performance Assessment Process Improvements," and VI.G "Event Reporting Rulemaking," require close coordination and the integration of specific tasks by the NRC staff. Responsible project managers are coordinating these activities by assessing the impact of proposed program changes with the other ongoing activities and ensuring that the overall objectives for each project are achieved. Examples include, intra-project task force participation, workshop attendance, concurrent review of projects and periodic senior management briefings. In addition, industry-developed initiatives such as the NEI New Regulatory Oversight Process are being reviewed by all project groups and evaluated for impact.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Issue ANPR	7/23/98C	D. Allison, AEOD
2. Conduct public meeting to discuss ANPR	8/21/98C	D. Allison, AEOD
3. Public workshop/stakeholder meeting (Chicago)	9/1/98C	T. Essig, DRPM
4. Conduct a public meeting ("tabletop exercise")	11/13/98 C	D. Allison, AEOD

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
5. CRGR Briefing	2/26/99	D. Allison, AEOD
6. ACRS Briefing	3/5/99	D. Allison, AEOD
7. Proposed rule to the Commission including proposed enforcement policy changes (9800096) (AEOD)	4/9/99	D. Allison, AEOD R. Borchardt, OE
8. Publish proposed rule (10 CFR 50.72 and 50.73)	5/14/99	DRPM
9. Conduct a public workshop	5/28/99	D. Allison, AEOD

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
10. Brief CRGR	11/26/99	D. Allison, AEOD
11. Brief ACRS	12/10/99	D. Allison, AEOD
12. Final rule to Commission (9800096) (AEOD)	1/14/00	D. Allison, AEOD
13. Publish final rule	2/00	DRPM

Comments:

4. Moved from section "Through June 30, 1999" to section "Prior to January 28, 1999" to correct typographical error. No impact on schedule.

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manger: David Matthews, Deputy Director, DRPM/NRR

G. Specific Issue: Proposed KI Rulemaking

Objective: To Implement Commission decision regarding the use of KI as a protective measure for the general public after a severe reactor accident. In addition, to work with other Federal agencies to revise the Federal policy on the use of KI in the event of a severe nuclear power plant emergency and to develop aids to assist the states in applying the revised Federal policy.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Commission direction received (SRM 6/26/98)	6/26/98C	A. Mohseni
2. Draft and send to Commission <u>Federal Register</u> notice on Federal KI policy	7/98C	A. Mohseni
3. Brief CRGR	10/13/98 C	M. Jamgochian, NRR
4. Revise KI Federal Policy FRN and provide to FRPCC for review	11/5/98C	A. Mohseni
5. Proposed rulemaking package to EDO (9800173) (NRR) (SECY-98-264)	10/23/98 C	M. Jamgochian, NRR
6. Publish Proposed Rule (9800173) (NRR)	41/30/98 TBD (see note)	M. Jamgochian, NRR
7. Develop description of available Federal KI stockpiles and availability to states	1/99	A. Mohseni
8. Develop final KI Federal policy FRN reflecting FRPCC review and send to Commission (9700193) (AEOD)	1/99	A. Mohseni

THROUGH JUNE 30, 1999		
9. Final review of KI Federal policy FRN by FRPCC	4/99	A. Mohseni
10. Draft a public brochure on use of KI and provide for Federal agency and public comment	5/99	A. Mohseni
11. Establish procedures to access Federal stockpiles with FEMA	5/99	A. Mohseni
12. Publish KI Federal Policy FRN	6/99	A. Mohseni

THROUGH JUNE 30, 1999		
13. Brief CRGR and publish Final Rule (9800173) (NRR)	TBD	M. Jamgochian, NRR

BEYOND JUNE 30, 1999		
14. Revise KI technical paper (NUREG-1633) to address public comments and provide to Commission (9700193) (AEOD)	9/99	A. Mohseni
15. Final brochure on use of KI provided to Commission for review (9700193) (AEOD)	9/99	A. Mohseni
16. Publish final technical paper (NUREG-1633)	10/99	A. Mohseni
17. Finalize the public brochure on use of KI and provide to FEMA for publication	10/99	A. Mohseni

Comments:

1. Deleted comment and added SRM date to Milestone description.
2. SRM dated 9/30/98 provided Commission-approved draft FRN. Draft FRN sent to FEMA for distribution to FRPCC members (10/1/98).
3. ~~New Milestone:~~
4. FRN was revised by Commission 9/30/98, and sent to FEMA on 10/1/98 for FRPCC review. The staff presented the revised draft FRN to the FRPCC on November 5, 1998 for review, comment, and approval. FRPCC member agencies will provide their comments to the FRPCC in January 1999. The FRPCC KI Subcommittee will review those comments and make its recommendation to the FRPCC.
6. SECY 98-264, Proposed Amendments to 10 CFR 50.47, sent to the Commission on November 10, 1998. Commission action still pending.
8. The FRPCC will receive comments on the NRC proposed FRN from other Federal agencies during 1/99. The FRPCC Subcommittee on KI will then evaluate the comments and make its recommendations to the FRPCC. The NRC funding for KI will also have to be resolved. The completion of this task may be delayed until 3/99.
12. Before final issuance of the FRN, FEMA will require NRC funding to be in place.
14. ~~Based on 9/30/98 SRM new direction. Comments received. Comment period ended 9/15/98. Some comments continue to arrive. SRM directed the staff to withdraw draft NUREG and substantially revise and reissue.[†] Staff requested removal of draft NUREG from NRC WEB site. SRM directed the staff to withdraw the draft NUREG-1633 and substantially revise and reissue it. Staff issued FRN withdrawing the draft NUREG on 10/16/98 and removed it from the NRC WebSite. Staff formed a KI Core Group to review and address the comments received on~~

[†]The staff formed a core group comprising representatives from such organizations as FDA, FEMA, EPA, CRCPD, and other states to review and address the comments, add new sections and develop the next revision of NUREG-1633.

the draft NUREG and add new sections on U.S. and foreign experiences in logistics of KI distribution. Staff issued FRN withdrawing draft NUREG-1633 on 10/16/98. Proposed to management and management approved the members of a core group to convene to review the comments and add new sections on U.S. and foreign experiences in logistics of KI distribution. The core group members include representatives from: AL, TN, AZ, CT, Waterford (CT), NEMA, CRCPD-6, FDA, EPA, FEMA and NRC. Conference call held 10/22/98 to schedule first meeting. The KI Core Group met publicly 12/1/98-12/4/98 at the NRC. Issues were identified based on public comments and resolutions identified. The U.S. experience was discussed and examined. The members were tasked for follow-up activities. The KI Core Group will meet again in early 1999 for follow-up activities. First core group public meeting is scheduled for 12/1/98-12/4/98.

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: Brian Sheron, Acting Associate Director for Technical Review, NRR

H. Specific Issue: NEI Petitions - Petition for modifying 50.54(a)

Objective: Complete the NEI Petition, accepting in part to modify 10 CFR Part 50.54(a), as it pertains to Quality Assurance Program Change Control and is intended to reduce burdens on industry.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Public meeting with stakeholders to discuss contents of proposed Direct Final Rule.	10/15/98 C	R. Gramm, DRCH
2. Submit to the Commission a memorandum stating the staff's proposal to accept the NEI Petition in part to modify 50.54(a) and propose a Direct Final Rule. (9800166) (NRR)	10/19/98 C	R. Gramm, DRCH
3. Submit to the Commission a SECY Paper accepting the NEI Petition in part, proposing a Direct Final Rule, and a longer term additional rule change. (9800166) (NRR)	4/98 11/30/98 C	R. Gramm, DRCH
4. Decision by the Commission on the Direct Final Rule and the Petition's disposition.	1/99	R. Gramm, DRCH

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
5. Publication of a Federal Register Notice to accept in part the NEI petition for rulemaking and proposing a Direct Final Rule (9800166) (NRR)	02/99	R. Gramm, DRCH
6. Direct Final Rule effective if no adverse comments received.	04/99	R. Gramm, DRCH
7. Coordinate a workshop with NEI to discuss implementation aspects of Direct Final Rule.	TBD	R. Gramm, DRCH
8. Hold meetings and workshops with stakeholders to fully develop voluntary option rulemaking.	TBD	R. Gramm, DRCH

THROUGH JUNE 30, 1999		
9.	Issue Voluntary Option rule for public comment via Federal register Notice.	R. Gramm, DRCH
10.	Evaluate public comments on Voluntary Option Rule and prepare Final Rule.	R. Gramm, DRCH
11.	Issue Voluntary Option Rule in Federal Register Notice.	R. Gramm, DRCH
12.	Hold a workshop to discuss implementation aspects of Voluntary Option Rule.	R. Gramm, DRCH

Comments:

3. All Office level concurrences were completed and the SECY paper was forwarded to EDO on 11/25/98. EDO approval expected 12/2/98.

VI. Topic Area: Other Agency Programs and Areas of Focus

SES Manager: David Matthews, Deputy Director, DRPM/NRR

I. Specific Issue: Revised Source Term Rulemaking

Objective: To revise Part 50 to allow holders of operating power reactor licences to voluntarily amend the facility design basis to use revised source terms in design basis accident radiological analyses. This action would allow these facilities to pursue risk-informed licensing actions made possible through the use of the revised source term.

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Commission approval of rulemaking plan (submitted 6/30/98)	9/4/98C	C. Miller, DRPM
2. Complete proposed rule package	10/2/98C	C. Miller, DRPM
3. Office concurrence	10/30/98 C	C. Miller, DRPM
4. ACRS review	11/4/98C	C. Miller, DRPM
5. CRGR briefing	11/10/98 C	C. Miller, DRPM
6. Proposed rule package to EDO (9700025) (NRR)	12/4/98C	C. Miller, DRPM
7. Submit proposed rule package to Commission	12/15/98 C	C. Miller, DRPM
8. Publish in <u>Federal Register</u>	1/99	C. Miller, DRPM

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
9. Complete draft guide; draft SRP section	5/99	C. Miller, DRPM
10. End of public comment period	4/99	C. Miller, DRPM
11. Office concurrence on final rule; draft guide; draft SRP	6/99	C. Miller, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
12. ACRS review	7/99	C. Miller, DRPM
13. CRGR review	7/99	C. Miller, DRPM

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
14. Final rule; draft guide; draft SRP to EDO (9700025) (NRR)	7/99	C. Miller, DRPM
15. Final rule; draft guide; draft SRP to Commission	7/30/99	C. Miller, DRPM
16. End of public comment period	11/99	C. Miller, DRPM
17. Office concurrence on final guide; final SRP	12/99	C. Miller, DRPM
18. ACRS review on final guide; final SRP	12/99	C. Miller, DRPM
19. CRGR concurrence on final guide; final SRP	1/00	C. Miller, DRPM
20. Final guide; final SRP to EDO (9700025) (NRR)	1/00	C. Miller, DRPM
21. Final guide; final SRP to Commission	1/24/00	C. Miller, DRPM

Comments:

5. CRGR briefing ~~need to review~~ on the proposed rule was completed on 11/10/98. CRGR had no objection to publishing proposed rule in the Federal Register and may review it at final rule stage (Milestone 13).

12, 13. Meetings with ACRS and CRGR would be expected to occur in conjunction with the scheduled reviews.

Staff conducted a public meeting with NEI and Industry on 10/1/98. The staff expects to conduct additional meetings as the need arises. There is currently no planning for a workshop. Such a workshop may be appropriate once the staff has issued the final rule, the draft guide, and the draft SRP.

VII. TOPIC AREA: Uranium Recovery Issues

SES Manager: ~~Joseph J. Holonich~~ **King Stablein, Acting Branch Chief**, Uranium Recovery Branch

A. Specific Issues: Uranium recovery concerns raised in Senate report

- C Dual regulation of ground water at in situ leach (ISL) facilities
- C Expanded use of mill tailings impoundments to dispose of other material
- C Eliminate consideration of economics in the processing of alternate feedstock

Objective: To look for ways to:

1. eliminate dual regulation of ISLs facilities;
2. reduce the regulatory burden on uranium mill wanting to expand the use of impoundments for disposal of other materials besides mill tailings; and
3. encourage uranium mills who want to engage in recycling of materials for their uranium content

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. Commission paper on ways to eliminate dual regulation at ISL facilities (9800176) (NMSS)	12/98 01/99	Charlotte Abrams/ Jim Park, NMSS
2. Commission paper on revising guidance for expanding disposal capability of uranium mill tailings impoundments, and ask for Commission policy on hearing orders concerning need to consider economics in alternate feedstock evaluations (9800176) (9800180) (NMSS)	12/98 01/99	Charlotte Abrams/ Jim Park, NMSS

THROUGH JUNE 30, 1999		
Milestone	Date	Lead
3. Implement any changes in review of alternate feedstock that result from hearing and Commission review of previous hearing orders	01/99 02/99	Charlotte Abrams, NMSS
4. Complete hearing on alternate feedstock amendment to see how State of Utah concerns about staff not applying appropriate economics criteria is determined.	02/99	P. Block, ASLBP
5. Complete Part 41 rulemaking plan, including recommendations on regulatory changes to address the three issues (9800177) (NMSS)	04/99	Mark Haysfield Mike Fliegel, NMSS

THROUGH JUNE 30, 1999		
6. Revise ISL Standard Review Plan to implement staff recommendations if approved by Commission	06/99	Bill Ford, NMSS
7. Issue revised draft guidance on disposal capability with Commission-approved revisions	06/99	Charlotte Abrams, NMSS

BEYOND JUNE 30, 1999		
Milestone	Date	Lead
8. Publish proposed Part 41 for public comment, including regulatory changes to address three issues (9800177) (NMSS)	04/00	Mark Haysfield/ Mike Fliegel, NMSS
9. Publish final Part 41 codifying agency policy on resolution of three issues. (9800177) (NMSS)	02/01	Mark Haysfield/ Mike Fliegel, NMSS

Comments:

General comment re: objective stated above: Three issues raised in the Senate report are presented in the National Mining Association white paper that was presented to the Commission in April 1998.

- 1.& 2. Staff will provide recommendations to the Commission on ways to address issues on eliminating dual regulation at ISL facilities and on disposal of material in tailings impoundments. ~~Initial drafts of the Commission papers have been prepared.~~ Staff met with OGC on October 13, and developed a strategy for completing the Commission paper on ISL dual regulation. On October 26, 1998, OGC sent staff its legal analysis covering whether staff could remove themselves from the regulation of ground water at ISL facilities. The OGC position ~~will be~~ **has been** incorporated into the Commission paper.

Copies of both papers have been **concurred in by the CFO.** ~~provided to OGC and the CFO for concurrence. NMSS and OGC staff are working to resolve concerns from the General Counsel on the disposal of material and alternate feed paper. The ISL facility dual regulation papers~~ **has also have** received no legal objection from OGC.

Because of the technical and legal complexity of the issues covered in the Commission papers, the staff need additional time to complete their work. ~~In addition, the papers are being edited. A delay of one week--from November 30 to December 7, 1998--is anticipated.~~ **Delays have resulted from time required for consultation with OGC and for staff revisions. Because it has been recommended that the issues discussed in the Commission papers should be addressed through the Part 41 rulemaking task, staff also needed extra time in order to send the Commission papers forward along with the Part 41 rulemaking plan. The Commission papers and Rulemaking Plan have been submitted for review.**

If approved by Commission, staff will begin to implement those recommendations in their review practices, and recommend that they be codified in Part 41.

- 3.& 4. The most recent alternate feedstock amendment issued by the staff is being contested by the State of Utah and Envirocare. One of the contentions is that the staff failed to conduct the appropriate economics test in accepting the amendment application. A decision from this hearing could help provide guidance to the staff on how economics should be considered in future reviews. The Presiding Officer has set a schedule for the hearing with filings due from the intervener (State of Utah) by December 7, 1998, the licensee (International Uranium) by January 18, 1999, and the staff by January 18, 1999. Based on the schedules in this order, a decision from the Presiding Officer is not expected until at least February 1999.
5. A draft of the ~~rulemaking plans has been prepared along with a~~ Part 41 rulemaking plan and accompanying Commission paper ~~will be sent to the Commission along with the two~~ Commission papers.
9. An administrative error on the publication date of a final Part 41 has been corrected (the original date given was the date the rulemaking was due to the EDO, not the publication date)

VIII. TOPIC AREA: Changes to NRC's Hearing Process

SES Manager: Joe Gray, OGC

A: Use of Informal Adjudicatory Procedures

PRIOR TO JANUARY 28, 1999		
Milestone	Date	Lead
1. First draft Commission paper on legislative and rulemaking options to enhance Commission's ability to use informal adjudicatory procedures submitted for General Counsel's review and comment.	10/30/98 C	J. Fitzgerald, OGC
2. Draft submitted to Licensing Board for comment	12/1/98C	J. Fitzgerald, OGC
3. Comments received on draft	12/18/98 C	J. Fitzgerald, OGC
4. Paper submitted to Commission	12/31/98 1/8/99	K. Cyr, OGC
5. Briefing of Commission Offices	1/7/99 TBD	K. Cyr, OGC
6. Commission Guidance	1/21/99	K. Cyr, OGC

THROUGH JUNE 30, 1999		
7. Prepare legislation for Commissioner review.	TBD	J. Fitzgerald, OGC
8. Prepare notice of proposed rulemaking for Commission review.	TBD	J. Fitzgerald, OGC

BEYOND JUNE 30, 1999		
9. Prepare final rule	TBD	J. Fitzgerald, OGC

Comments:

4. Paper submittal delayed by 1 week.
5. Briefings will be scheduled when requested.